1 Monday, 14 May 2012 1 Such a deal would constitute a fraud by the newspaper on 2 (10.00 am)2 its readers and by the politician on the electorate. 3 LORD JUSTICE LEVESON: Mr Jay, you will be aware that on 3 Such a deal would be a denial of democracy. 4 4 6 May there was published an article in the Independent But it is quite wrong, as Mr Jay did last week, to 5 on Sunday which caused concern that there had been 5 muddy the definitions and the boundaries to the point 6 a leak of a statement made by Mr Andy Coulson to the 6 where no differences is seen between a newspaper 7 7 Inquiry, which had been published on the Lextranet supporting a politician it agrees with and respects and 8 system. 8 a corrupt deal between the two. 9 I investigated that leak during the course of last 9 At the top of page 7 of the printed version of 10 10 week, and it was indeed confirmed by Mr Mullin, the Mr Jay's opening, which is now available on the Inquiry 11 editor, that he had been provided with sight of the 11 website, he identifies what he describes as the heart of 12 statement, although he said that his story had been 12 the problem, but in doing so he rolls up, without 13 independently researched and verified before his sight 13 differentiation, at one extreme a case where the 14 of that statement. 14 trade-off for support from the press is the deliverance 15 I have considered the circumstances and published 15 by government of media policies which favour the commercial interests of a particular newspaper group, 16 today a detailed ruling on the website -- or will 16 17 publish today a detailed ruling on the website -- in 17 and at the other extreme, the case where the trade-off 18 relation to this incident. I do not intend to take any 18 for support from the press is, and I quote: 19 action under section 36 of the 2005 Act in relation to 19 "The espousal by government of other, that is to say 20 this matter but the ruling contains very detailed 20 non-media policies, which correspond with the world or 21 consideration of the circumstances, and I strongly 21 political views of influential newspaper proprietors or 22 advise anyone who publishes material likely to emanate 22 editors." 23 from our Lextranet system to read that judgment with 23 That amounts to saying that it is sinister --24 24 care, whether they be a core participant or not. indeed, at the heart of the problem -- for Mr Murdoch 25 Thank you. Yes, Mr Rhodri Davies? 25 and the Sun or Mr Dacre and the Daily Mail to support, Page 1 Page 3 1 Opening submissions for Module 3 by MR DAVIES 1 through their pages, politicians whose views they agree 2 MR DAVIES: Good morning, sir. Thank you for allowing me 2 with. But that is exactly what they are meant to do and 3 a little time this morning. I don't believe that you 3 are expected to do as agents of a free press in 4 will need the hourglass which was referred to a Friday. 4 a democracy. 5 Following the opening which Mr Jay delivered in 5 The problem comes not when they support politicians 6 relation to Module 3 on Thursday of last week, we would 6 they agree with but if they prostitute their papers to 7 like to make some observations on what the Inquiry 7 support politicians they don't agree with in exchange 8 should be focusing on and how it should approach 8 for favours. The vice, we say, in Mr Jay's formulation 9 9 Module 3, which is concerned with the contacts and the is that it muddles right with wrong and fails to define 10 10 relationships between national newspapers and what the Inquiry should be looking for. 11 politicians and the conduct of each. 11 Indeed, it appeared at times from Mr Jay's opening 12 That is a very broad subject, and it's necessary for 12 that it was somehow discreditable to own or edit a 13 13 the Inquiry to identify the area in which it is to newspaper such as the Sun, which has a very large 14 focus. There is one obvious area where there is or may 14 readership, whose readers include those who vote across 15 be legitimate public concern. That is the possibility 15 the political spectrum, whose readers include many who 16 that illegitimate deals have been done between press and 16 do not always vote for the same party and whose 17 politicians, in which favourable coverage in the press 17 editorial line has been known to favour different 18 has been traded for favourable exercises of governmental 18 parties at different times. Such a paper is, one might 19 powers. In order to focus on this area, it's necessary 19 think, a valuable element in a vibrant democracy, but in 20 to analyse what constitutes an illegitimate deal. 20 Mr Jay's hands, these characteristics -- a large 21 Illegitimacy is present where a newspaper or a media 21 readership, floating voters, an absence of immutable 22 22 group agrees to alter its coverage from what it would party loyalties -- began to sound sinister, an apple so 23 otherwise write and publish in return for a promise by 23 tempting and shining that politicians could not be 24 a politician that a governmental power will be exercised 24 trusted to talk to its editors or proprietors without

in a way in which it would not otherwise be exercised.

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doing underhand deals and somehow a vehicle for

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wrongdoing, precisely because its readers and its publishers do not reliably adhere to a strict party line.

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Now, if there is evidence of such underhand deals, the Inquiry must, of course, look at it, but that may be a relatively brief exercise. In the face of the documentary record, Mr Jay rightly disclaimed the notion that Mr Murdoch expressly asked for concessions. There is, he said -- this is his page 13 -- little or no direct evidence that Mr Murdoch did so. And, as Mr Jay also said, it would not be safe to base any findings on speculations. Nor, of course, would it be appropriate to level such allegations in the face of compelling evidence that no underhand deals were made.

Mr Jay rightly focused considerable attention on the acquisition of the Times and the Sunday Times in 1981, as that has served in popular lore as the original sin of Mr Murdoch's expansion of publishing interests in the United Kingdom, and as was suggested in the written questions posed to Mr Murdoch, a paradigm of how he went about having politicians bend the rules in his favour. From the premise that the rules were bent at his behest in 1981, the Inquiry took him through all of the transactions that followed, from the development of Sky and the merger that created BSkyB, the consideration of Page 5

1 a clear definition of what it is they are getting in 2

Nonetheless, by inviting the Inquiry to enter into this world of implied understandings, Mr Jay recognised an important truth: that the documentary record common conclusively establishes that there were no express understandings, but that record leaves no room for implied understandings either.

about when they had lunch at Chequers on 4 January 1981 because Mr Ingham made a note of it. There was no discussion of the MMC and no promises were made on either side. Mr Jay had an unjustified swipe at Mr Ingham by describing his note as "carefully crafted", but it have to be not merely carefully crafted but positively dishonest if a deal was deliberately omitted from the record. Nobody suggests that.

We know what Mr Murdoch and Mrs Thatcher talked

Moving on three weeks to the morning of 26 January 1981, we know -- because again we have the meeting note -- that Mr Biffen told Mr Murdoch that he was minded to refer the transaction to the MMC and that Mr Murdoch told Mr Biffen that he would not object to a reference, and furthermore, at Mr Biffen's request, Mr Murdoch promised that he would extend his bid if Thomsons agreed to attend their deadline for a sale in

investments in Channel 5 and Mediaset, the division of

2 broadcast rights in Premium League events and indeed the

3 whole history of News Corporation and

News International's growth over the last 30 years, all

of it viewed from the starting point of the 1981

transaction as a paradigm.

The documentary evidence, however, did not support the thesis that in 1981 any deal was made, let alone that any rules were bent. In the absence of any such evidence, Mr Jay opened up instead what one can only describe, with respect, as a science fiction theory, that deals with done not expressly but through implied understandings reached through messages -- and these were his words -- transmitted by and to finely tuned antennae, without anything actually being said.

This is, with respect, a flight from reality. It is an invitation to the Inquiry to depart from an examination of facts, who said what and who did what, and to speculate instead about wordless meetings of minds. This is the stuff of fantasy. Deals cannot be done by telepathy. What are the terms? What is the duration? What is the quid pro quo? When is the deal fulfilled? What is it broken? The sophisticated and powerful people Mr Jay identifies know better than to commit their political and commercial capital without

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1 order to allow a reference to the MMC. In other words, 2 the documents conclusively establish that not only did

Page 7

3 Mr Murdoch not ask the Prime Minister to cut any corners

4 for him; he did everything asked of him to make it

5 possible for the Secretary of State to make a referral 6

to the MMC.

That left Mr Biffen with one central problem: to persuade Thomson to extend its deadline. What happened next is plain to see from the documents. The whip hand was held not by Mr Murdoch but by Thomsons. Mr Biffen saw them too on the morning of 26 January and they told him at their meeting, then reconfirmed in a letter that day, which the Inquiry has seen, that they would not extend their deadline for the closure of the papers. They had been held to ransom some by the unions many times too often and at great cost and they would not allow any opportunity for it to happen again.

This meant that the delay entailed by a reference to the MMC risked causing the end of the Times and the Sunday Times. When Mr Biffen explained his decision in the House of Commons the next day he told the house -this is column 818 in the Hansard record -- that:

"At the heart of the matter was whether it was possible to refer to the Commission and not invoke the proposed closure plans that had been drawn up by the Page 8

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Thomson organisation." Mr Biffen went on to explain that, although he had been urged to call Thomsons' bluff, he did not think that would be a wise posture for someone seeking to act in the public interest. He made it clear to the House that it was his decision and that he took responsibility for it. After the debate, he wrote to John Smith MP to explain the analysis by his civil servants of the solvency of the Sunday Times and arranged for the letter to be deposited in the library of the House of Commons.

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To suggest that Mr Biffen was a mere front for a deal between Mr Murdoch and Mrs Thatcher is as much an unwarranted charge against him as it is against them. Mr Jay said that whether there were any private conversations between Baroness Thatcher and Mr Biffen is both unknown and unknowable. Although Mr Biffen is no longer with us, that is not to say that there is no record of his thoughts on this subject. In November 1998, after publication of Woodrow Wyatt's claims to have bent the rules for Mr Murdoch, Chris Mullin, the Labour MP, asked Mr Biffen about it. In his entry for 4 November 1998, pages 386 to 7, Mr Mullin records Mr Biffen as saying that he had searched his attic and dug out his pocket book for the period in question. I will not read out the full entry but Mr Biffen

recollections, the documentary record demonstrates conclusively that there was no express deal and no implied deal either.

We agree with Mr Jay that the record of the acquisition of the Times and the Sunday Times is of cardinal importance in the history. As I have said, it's been taken by Mr Jay and others as the paradigm example of Mr Murdoch's supposedly malign dealings with politicians and it took pride of place again in Mr Jay's opening last week. Because of its central place in the history and the mythology, it's vital that the Inquiry should understand the descending plausibility of the theories that have been advanced about what happened in January 1981.

The first theory was that there was an explicit deal made between Mr Murdoch and Mrs Thatcher and executed by Mr Biffen. That theory is utterly demolished by the documentary record.

deal then there must have been an implied one. Here, metaphors take the place of evidence or analysis. So we have finely tuned antennae, doors springing open to the lightest of touches, people on the same page, Mr Murdoch knowing which buttons to press and perhaps also Max Weber's theory of charismatic authority. All these

The second theory is that if there was no explicit

Page 11

recalled no pressure from Downing Street. So far as he knew, everything had been above board. One of the difficulties had been that the only other buyer in sight had been Robert Maxwell.

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The thesis that Mr Murdoch must have enlisted the Prime Minister in an effort to avoid a referral to the Commission therefore suffers not only from a complete lack of evidence in its favour but from a compelling documentary record to the contrary. The implied deal approach outlined by Mr Jay requires one to conclude that all of Mr Biffen's contemporaneous statements and reasoning were mere camouflage, that contrary to what he told the House of Commons in 1981 and what he told Mr Mullin in 1998, Mr Biffen paid no regard to the deadline impose by Thomsons but instead declined to make a referral to the Monday open his Commission because of a nod and a wink from Mrs Thatcher, who was in turn acting on the basis of an unspoken request from

Mr Murdoch. Mr Murdoch managed to bring this all about not by asking the Prime Minister by for help by instead by telling the Secretary of State that not only did he not oppose a referral but he would extend his bid to facilitate one. To call this thesis speculation is to use too dignified a term. With or without Mr Biffen's Page 10

beguiling images have in common the cardinal feature 2 that they are unable to explain what the deal was or how 3 it was done. They also are demolished by the 4 documentary record.

The third theory emerged only last week. It is a desperate assertion that something must have been up and that Mr Murdoch must be lying when he says that he does not remember anything about it. Mr Jay put it more euphemistically but still in headline-grabbing style when he said it had to be asked whether Mr Murdoch was conveniently suffering from selective amnesia.

It is against the rules -- and for good reason -- to raise such an issue after the witness is gone rather than when he is here to answer it, but never mind that. This theory fares no better than its predecessors. Mr Murdoch has nothing to lie about. The documents tell the story. It would be easy enough for him to say that now that he's seen Mr Ingham's note it has jogged his memory and it happened just as Mr Ingham record. If he had something to hide, that is exactly what he would have an incentive to say. If he says, in fact: "I still can't remember anything about it", then it is because, 31 years later, he simply can't. The suggestion that he must be lying is not an argument or a theory based on evidence, but a conviction which is determined not to

1	face the evidence.	1	like to develop that at all?
2	As I have demonstrated, the remarkably full	2	A. Well, as I say in the statement, I think there are
3	documentary record shows that Mr Murdoch has nothing to	3	enormous benefits from having a free press which can
4	hide in respect of the events of January 1981. There is	4	hold governments to account. I think that's a massive
5	no basis for Mr Jay's delayed airing of doubts over his	5	advantage which we should never ever underestimate. In
6	credibility. That suggestion had no place in Mr Jay's	6	terms of how you manage the relationship between various
7	opening for Module 3.	7	bodies, which I know the Inquiry's been looking into and
8	What must, however, play a full part in the	8	will do in turn politics, police and the like we
9	Inquiry's consideration of Module 3 is the recognition	9	do need to bear in mind that we have a system which
10	that the first and paradigm allegation of underhand	10	allows rather different rules for different parts of the
11	deals between Mr Murdoch and politicians has been	11	media, and I think the issue we really need to think
12	demolished. The base for what follows in	12	about is how, given the technological changes, which
13	News International's success in the United Kingdom is	13	have made the different types of media blurred the
14	not a stolen transaction but a proper acquisition and	14	distinction between the phrase I used is BBC Online
15	one that saved the papers from closure or, perhaps, from	15	versus Times Online. They are both regulated in very
16	Mr Maxwell.	16	different ways. Do the readers understand that and do
17	That is all I wanted to say. If it would assist the	17	we have the right regulatory system which can
18	Inquiry to have a footnoted version of what I've said	18	accommodate an area where newspapers are allowed to
19	with copies of the documents, then we will of course	19	actively support political parties, whereas broadcasters
20	provide that.	20	are governed by a very strict set of rules?
21	LORD JUSTICE LEVESON: I would certainly like them. Thank		I think the answer in terms of the relationship with
22	you.	22	politicians is that we go for as much transparency as
23	MR JAY: Sir, the first witness today is Lord O'Donnell,	23	possible.
24	please.	24	Q. Okay, we'll pick that idea up in due course. In
25	LORD JUSTICE LEVESON: Very good.	25	paragraph 8 this is our page 05340 you begin to
	Page 13		Page 15
1	LORD AUGUSTINE THOMAS O'DONNELL (sworn)	1	identify the problem in the context of a low level of
	LORD AUGUSTINE THOMAS O'DONNELL (sworn) Ouestions by MR JAY	1 2	identify the problem in the context of a low level of trust in journalists and you lay markers down to
2	Questions by MR JAY	2	trust in journalists and you lay markers down to
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2 3	Questions by MR JAY MR JAY: Your full name, please, Lord O'Donnell? A. Augustine Thomas O'Donnell.	2 3	trust in journalists and you lay markers down to possible solutions, including speedy resolution for errors of inaccuracy. Then you say:
2 3 4	Questions by MR JAY MR JAY: Your full name, please, Lord O'Donnell? A. Augustine Thomas O'Donnell. Q. You have kindly provided the Inquiry with a witness	2 3 4	trust in journalists and you lay markers down to possible solutions, including speedy resolution for errors of inaccuracy. Then you say: "There must also be agreement on privacy and what
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Independent did come back in to the lobby.

- 2 So it was trying to establish general principles of
- 3 the Prime Minister's press secretary being there clearly
- 4 to present, in an impartial fashion, government policy,
- 5 and to do that equally to all members of the media, both
- 6 broadcast and newspapers.

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- 7 Q. For you as a civil servant, that of course did not
- 8 create any tension or difficulty, did it?
- 9 A. No, it didn't. I had been a press secretary in the 10 treasury to briefly Nigel Lawson and then John Major 11 when he was chancellor, so I got used to the fact that
- 12 that was an important part of the job.
- 13 Q. Do you have any reflections on the relationship between 14 press and politicians during that period, particularly
- 15 in the context of the 1992 election and then the way the
- 16 press responded to the Conservative government after the 17
 - financial crisis of that year?
- 18 A. You have to understand, when John Major took over from
- 19 Margaret Thatcher as Prime Minister and I moved in as
- 20 press secretary, there was a clear presumption that
- 21 there will be a change of administration at the next
- 22 election. The opinion polls were showing something
- 23 between 15 to 20-point lead for Labour, and my job
- 24 was -- it was an interesting question of whether my job,
- 25 going in as press secretary, would be seen as someone
 - Page 17

between government and the press. Would you agree with

- 2
- 3 A. I think that's true. I think the relationship was much
- 4 more distant during that period than I have observed it
- 5 being in later periods, yes. Certainly.
- 6 Q. Did you have any sense, though, in government, that this
- 7 was an opportunity perhaps to bring in press reform in
- 8 a way which otherwise might not have been possible if
- 9 there had been an overcosy relationship?
- 10 A. Well, at that stage I think the case for having to
- 11 reform things wasn't as great. I mean, there were some
- 12 issues, but in the end I think politicians weren't
- 13 convinced that that was something that actually was so
- 14 bad that it needed to be resolved, certainly not in
- 15 a statutory way.
- 16 O. We may come back to that issue with another witness.
- 17 It is also said that the Prime Minister was obsessed 18 with press coverage, went out to buy personally first
- 19 editions of the Evening Standard, fear of the tabloid
- 20 press. Was that true or not?
- 21 A. Well, like I say, there had been something of a myth
- 22 developed that the tabloids had been highly influential
- 23 in the 1992 election. Certainly prime ministers -- and
- 24 Sir John Major was no different in this respect -- care
- 25 a lot about what the media say about them and get very
 - Page 19

- 1 who would, by doing that, become politicised and would
- 2 therefore, if there were a change of administration, as
- 3 looked very likely, given the opinion polls, have to
- 4 leave and someone else would come in.
- 5 As it turned out -- it is alleged that the media
- 6 played a big role in that election. I'm not sure that
- 7 a thorough study of the evidence would back that up, but
- 8 it's certainly the case that it was a very unusual
- 9 election in that people lied in the exit polls,
- 10 basically. So the way they voted and the way they
- 11 actually said they voted in the exit polls were far
- 12 different from what's happened in previous and post
- 13 elections.

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- So my job really was just to be, as it were, the impartial explainer of government policy and I carried
- 15 on doing that post the election. It was interesting 16
- 17 that there was a change of mood. The economy was going
- 18 through difficult times. We had the exit from the
- 19 exchange rate mechanism and the mood of the newspapers
- 20 did indeed change and became more hostile towards the
- 21 government, something that's not unknown in mid-terms of
- 22 government, I have to say.
- 23 Q. Of course, during that period, if we take the period in
- 24 particular 1992 to 1997, some would say that that was
- 25 characterised by the lack of an overcosy relationship
 - Page 18

- 1 upset when there are inaccuracies reported. He got
- 2 particularly upset when they would be of a personal
- 3 nature. Indeed, I was involved in a situation where we
- 4 went to litigation over some incorrect statements made
- 5 in the New Statesman. So he did feel quite strongly
- 6 that it was important that the press should be accurate
- 7 and that if they made mistakes, that these things should
- 8 be corrected.
- 9 Q. But you wouldn't go so far as to say an obsession; is
- 10 that it?
- A. Well, he was -- he took a keen interest. 11
- 12 Q. You touched on lobby briefings. Final sentence of your
- 13 paragraph 31, page 05346, you refer to them as being
- 14 seen as the dark arts. Many people in this room will
- 15 fully understand why you say that and what you mean by
- 16 that, but could I invite you to expand, please?
- 17 A. Certainly. There was a perception that somehow in lobby
- 18 briefings something was going on which was giving
- 19 information that was somehow not available elsewhere,
- 20 and what I wanted to do is kind of move this to
- 21 a situation where certainly we were giving that 22 information and I was much more relaxed about
- 23 attribution of that information to the Prime Minister's
- 24 spokesman, and we were giving it to everybody because
- 25 everybody was allowed in. I didn't want it televised

1 and the reason I didn't want it televised was because 2 I thought that in you televised a lobby briefing, then 3 the Prime Minister's press secretary becomes a very 4 public figure, and that meant that people would 5 associate that person with the policies, rather than the 6 elected politicians whose policies he or she was 7 explaining. So I drew the line as saying: look, 8 everyone can be there and report it but it shouldn't 9 you're televised. And I still think that's true. 10

So for me, the dark arts were when people were going and spinning stories to individual newspapers in different ways to accommodate the story to what they believed would go well with the readership of that particular story. What I felt, as a press secretary, was what you were trying to do was actually tell the one story to everyone at the same time and I thought that was a very important part of keeping the wrote process honest.

- Q. The practice then of giving different versions of the
 same story, according to the taste or predilection of
 the listener -- spin in another form -- that was
- a practice then which existed, on your evidence, long
 before it became --
- A. I'm afraid -- yes. I mean, certain people would always feed their version of the story to newspapers and Page 21

- 1 Q. The undue influence exerted by media proprietors over politicians?
- 3 A. It's -- certainly the degree of relationships increased
- 4 through time. There's no question about that. I would
- 5 always be very clear with prime ministers that there
- 6 were appropriate ways of managing those conversations,
- 7 there were certain things that should happen, certain
- 8 things that shouldn't, but in general, I would say the
- 9 degree of closeness has increased over time. But I'm
- 10 not aware of anything -- I cannot give you any specific
- 11 examples of things where I think something happened that
- 12 shouldn't have done.
- Q. All you can speak to then is the appearance and public
 perception. You're not supplying us with hard evidence
 which you could draw to our attention of the perception
 maturing into fact; is that it?
- 17 A. That's right.
- 18 LORD JUSTICE LEVESON: But is it a reasonable perception,
- 19 from your view?
- 20 A. Well, if you look at the incentive structures, we have
- 21 a situation where newspapers can give support to
- 22 political parties. Therefore obviously politicians have
- a strong incentive to explain to those newspapers their
- policies in a way that they hope will garner their
- 25 support and therefore get them to support them in the
 - Page 23

- that's -- you know, that had been going on for manyyears.
- 3 Q. Yes.

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- 4 A. What I tried to do by reinstating the importance of
- 5 a lobby briefing to all journalists was to say: this is
- 6 the version. This is what the government believes.
- 7 This is why they're undertaking these sets of policies
- 8 and these are the responses to your questions and that
 - was the definitive guide across the whole of government.
- 10 Q. Okay, thank you. Paragraph 14 of your statement,
- please. You begin to identify the problem, paragraphs
- 12 14 to 17.

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- 13 **A. Mm-hm.**
- Q. In particular, newspapers and broadcasters leading the
 news agenda rather than following it. Paragraph 16:
 - "Another risk in an overly close relationship between the media and politicians is the appearance and public perception of an undue influence, even if such an influence does not in fact exist."
- Well, that almost picks up a theme which we heard from Mr Rhodri Davies a few minutes ago. Are you able to assist with your personal knowledge, rather than a commentary on events, as to whether in your view that
- influence does or does not exist?

A. The influence between ...?

Page 22

- 1 election. That's the incentive structure, and therefore
- 2 it's in the strong --
- 3 LORD JUSTICE LEVESON: Or get their support for policies?
- 4 A. Exactly. So it's in their strong interests for
- 5 politicians to talk to newspaper editors and proprietors
- 6 to try and explain their policies, try and explain why
- 7 that newspaper should support them. That's been going
- 8 on and continues to go on and that's the structure we
- 9 have. And as long as you have newspapers which are
- 10 allowed to strongly support and come out very overtly in
- 11 favour of political parties, that relationship is going
 - to continue.

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- Where I would like to see a change, perhaps, is if
- 14 you look at -- if you contrast the newspapers, say, in
- the United States with the United Kingdom, you'll find
- in the United States newspapers in general tend to
- 17 separate out opinion and news much more. So you'll get
- a page of opinion, which basically says, "We strongly
- 19 support this politician or this set of policies", in
- 20 a very kind of almost propaganda-ish way, and then
 - you'll get the news columns, which tend to be pretty
- 22 straight.
- I think if you looked at our newspapers, where they differ is that you'll find that you get all the opinion
 - in the same way but in the news stories. If you took

1 1 I understand. I understand a little bit more than some the same news story, the same set of facts and you 2 2 reporters in the press suggest but there it is. But is looked at how that was handled by, say, the Mail and the 3 3 Guardian, you would find they were written up in very, that differentiation still tenable? Is it valuable? It 4 4 might be thought that it is valuable, if, for example, very different ways, and I think that's the difference. 5 5 LORD JUSTICE LEVESON: That actually raises a couple of in relation to the BBC, it's a publicly funded service, 6 questions, doesn't it? The first is the extent to which 6 or is it something that ought to be looked at? 7 7 there is a sufficient distinction between fact and A. Well, I think inevitably if you're looking at regulation 8 comment, which, of course, I think the code requires. 8 of the media, you have to look at all the different 9 9 A. Mm. forms of media. You can't take some irrespective of the 10 LORD JUSTICE LEVESON: Secondly, the extent to which 10 others because you'll drive -- you'll create incentives 11 11 for one form rather than the other. If you -- just as politicians feel they have to go to, as it were, try to 12 12 persuade proprietors or editors that their line is the we have with the current system. You know, it creates 13 13 correct one, or best in the interests of the public, at the incentives which mean that one of the ways in which 14 14 the same time as proprietors and editors might have newspapers can have a competitive advantage over 15 their own hobby horses or policies or campaigns which 15 broadcast media is by exploiting the fact that they are 16 they're actually keen to get the government to take on 16 not subject to the same set of rules and regulations. 17 17 So that's part of the competitive marketplace. board. That's where you get the risk of a mix. 18 I'm sure Mr Jay is coming onto it, but it just all 18 So I think you do have to look at everything. The 19 19 reason I used the example of BBC Online and Times Online comes together in that, doesn't it? 20 A. Indeed. If I take your two points in turn, sir. The 20 is that they're there on your iPad beside each other, 21 21 and I think one of the things we need to be clear about first one about the code and the business about trying 22 to differentiate news and comment. I really do think 22 is: do the readers understand that they are subject to 23 that if there were anything that could be done to 23 very different sets of regulation? And then comes the 24 24 reinforce that -- because I think it would be fair to question of: if they understand it, are the regulations 25 25 that were written some time ago really appropriate for say that if you did any sort of analysis of the same set Page 25 Page 27 1 of facts reported by different newspapers, you'll see 1 the degree of technology and the way people are 2 that it doesn't look like the same set of facts. So 2 accessing their news now? 3 news and comment is much more mixed up than I think it 3 I think one of the worries I have about moving 4 should be. 4 towards very specific legislation is it will be probably 5 Your second point about persuading editors --5 specific to the technology we have now and I suspect 6 6 obviously in a democracy, for politicians to get their that in five years' time the technology will be very 7 message across, it's really important that the media 7 different. So you have a very difficult task writing 8 report what they're saying, that they're given a stage. 8 legislation for this, which is why I'm all for thinking 9 9 On the broadcast stage, there are various rules about about ways which are -- do not go down that route, which 10 10 how that happens. In the newspapers, it's very are principles-based and build around independence but 11 11 different. So we've created a structure which very much also have a compulsory element to them. 12 12 incentivises politicians to try and persuade newspapers It's not easy to square all of those things, which 13 13 that they have the right set of policies and that is is why we have such an eminent Inquiry. 14 14 LORD JUSTICE LEVESON: Don't start. inevitably going to lead to them wanting very much to 15 15 MR JAY: At paragraph 17, Lord O'Donnell, you refer to engage in that process, and it, I think, does result in 16 difficulties of them getting too close. 16 senior politicians developing personal and direct 17 LORD JUSTICE LEVESON: I don't know whether Mr Jay's going 17 relationships with the media in opposition and those 18 to come on to this, but it's twice you've now mentioned 18 carrying through go on government and you presumably 19 the obvious difference between broadcasting and print 19 have direct experience, evidence, you could provide as 20 journalism and the inevitable consequence that there is 20 to that? 21 a different way of approaching material. One can look 21 A. Well, it's just that I think everybody knows this. When 22 22 at how people report statistics -- there are all sorts you're in opposition, an opposition politics, the key 23 of subset examples of that. 23 thing you have to do is get yourself into government, 24 The reason for the broadcasting rules initially was 24 therefore you have a strong relationship with the media. 25 because of bandwidth and all the rest, which 25 You have much fewer resources. So you don't have big Page 26 Page 28

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press offices and the like, so you do tend to develop 2 much closer personal relationships with journalists. 3 There tends to have been swapping of mobile phone 4 numbers, all of those sorts of things. 5 So one of the things I think that is right about, if 6 you like, the theatre of coming into government, when 7 that Prime Minister is clapped into Number 10 Downing 8 Street, is to emphasise the difference between being in 9 opposition and being in government. It's really 10 important that when you come into government, we say, 11 "Look, you are now --" every single Secretary of State, 12 subject to collective responsibility, is much more 13 important in government than I would say in opposition.

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when you say it, hence the whole panoply of saying, 16 "Here are codes of conduct, here's the way in which 17 press offices should operate." You should basically do 18 your operations through your press spokesman and your

19 press advisers, and basically change a lot of things. 20

That's not to say that I don't think there could be something for opposition, and I think it would be worth exploring with the leader of the opposition whether he would think that there could be some way in which you could have a set of rules which they would be comfortable with in opposition, which would work for

You have to be much more careful about what you say and

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1 MR JAY: In paragraph 18, top of the 05343:

2 "Undesirable behaviours are encouraged. For

example, a special advisor feeding a story exclusively

4 to a particular newspaper, knowing that it will appeal 5 especially."

6 Presumably you have personal knowledge of that, do 7 you, Lord O'Donnell?

8 A. Well, it's something that you can observe, that there

9 are closenesses between special advisers -- I mean,

10 a lot of them have media/PR backgrounds. You can see

11 particular stories appearing in a paper which have

12 a particular slant to them -- I'm using my experience

13 and judgment rather than actual evidence here -- which

suggests to me that this has been -- a special adviser

15 actually putting forward --

16 LORD JUSTICE LEVESON: I'm not sure that's quite right.

17 I think you're using actual evidence that is in your

18 brain based upon your experience but you're being

19 cautious about revealing that, but rather telling us the

20 consequence of your consideration over many years.

21 A. Yes.

22 LORD JUSTICE LEVESON: Would that be fair?

23 A. That would be fair.

24 MR JAY: On a number of general points, do you have personal

25 knowledge of government policy being modified, or,

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- 1 oppositions and which would allow that transition to be 2 smoother.
 - Q. You also say in paragraph 17 that you try to discourage this sort of relationship in government, suggesting you
- 5 might not always have succeeded. Is that right?
- 6 A. Well, I think the Prime Minister himself, current
- 7 Prime Minister, has said that he felt his relationships
- 8 had got too close, and I agree with him.
- 9 LORD JUSTICE LEVESON: It's not just the leader of the
- 10 opposition, though, is it? Because then that will
- 11 filter down to minority parties generally. Or would it?
 - Or does it matter?
- 13 A. I think it's most important for the leader of the 14 opposition but if you get into a situation where -- you
- 15 know, we currently have a Coalition. I think you would
- 16 want to put it to the leaders of all the parties: here's
- 17 a set of rules that we think opposition parties should
- 18 abide by and I think in terms of working with the leader
- 19 of the opposition, in terms of some of the transparency
- 20 rules which have been adopted by the opposition, I think
- 21 there's an opportunity, a window of opportunity to get
- 22 the opposition parties together and say to them: can
- 23 there be a set of guidelines, code of conduct,
- 24 something, which would cover these relationships which
- 25 all could sign up to?

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- 1 please, affected by fear of personal attack if
- 2 a newspaper line is not pursued?
- 3 A. What, particular politicians saying that we shouldn't do
- 4 this? I think there have always been examples of when
- 5 it comes to actually assessing the merits of a policy,
- 6 ministers will think about how -- well, how well will
- 7 this go down? Will we get general support for it? What
- 8 will be the media reaction to it? I mean, that's
- 9 generally part of one of the factors that ministers will 10
 - take into account.

11 When it comes to the area of is there a set of

12 policies where ministers are very nervous because they

13 think the newspapers might personally attack them,

14 I suspect it gets into the area that this Inquiry is

15 talking about. I mean, when you have discussions about,

16 let's say, policy issues like regulation of newspapers

17 or, dare I say it, imposition of VAT on newspapers and

18 magazines, then I think you'll get into the situation

19 where they'd say -- well, they come back to me with the

20 Sir Humphrey line, that it would be a very brave

21 politician that would go down that route.

22 Q. Can I pick up that last point? You mentioned in your

23 statement, in the context of current press regulation --

24 you describe it as a discredited form of

25 self-regulation. In part, is that, in your view,

- 1 because of a failure properly to address the
- 2 manifestation of problems thrown up by the culture,
- 3 practices and ethics of the press, or at the very least
- 4 a section of the press?
- 5 A. Well, I think the recent experiences over phone hacking,
- 6 the Milly Dowler and all the rest of it have clearly
- 7 dented the public's trust. The fact that there was
- 8 a PCC report on this which didn't come on up with
- 9 anything, the fact that the PCC has disbanded itself --
- 10 I mean, I think all of these things have, I suspect,
- 11 meant that people that think about these things have
- 12 generally taken the view that the PCC is not -- didn't
- 13 solve the problems, didn't foresee them, and indeed,
- 14
- I think in your evidence you heard somewhere the PCC
- 15 didn't regard itself as a regulator. So in a sense,
- 16 there was no regulator.
- 17 Q. Okay. May we move on now to the Ministerial Code, which
- 18 you pick up at paragraph 20. You explain it was
- 19 introduced in 1997 by Mr Blair. Its status as a matter
- 20 of law is that it's an executive instrument; is that
- 21 right?

1

- 22 A. Correct. I should stress -- I mean, one of the key
- 23 moments was when Prime Minister John Major decided to
- 24 publish questions of procedure for ministers. This was
- 25 the first time there had been anything out there, and
 - Page 33
 - the Ministerial Code sort of grew out of questions of
- 2 procedure for ministers, and now I think so this is
- 3 a very important document and I know that ministers take
- 4 it very seriously and obviously you will read always in
- 5 the media about questions of: did minister A or B
- 6 violate the Ministerial Code? So it is taken very
- 7 seriously.
- 8 Q. This probably should be seen as a series of high-level
- 9 principles rather than strict rules?
- 10 A. Absolutely. It is principles-based and that's what we
- 11 try and do, because, like I say, given the changing
- 12 nature of the circumstances, I think you have to go down
- 13 a principles-based route.
- 14 Q. In paragraph 21, you explain that the aspects which you
- 15 believe to be relevant to the conduct of relationships
- 16 between the media and ministers are, first,
- 17 accountability, collective responsibility, openness and
- 18 the need to avoid any conflicts of interest.
- 19 Well, you've touched on those concepts already. Do
- 20 you feel you need to expand on any of them to the extent
- 21 to which they're not largely self-evident?
- 22 A. No, I think all of them are very important. It's
- 23 interesting, if you look back on these things -- things
- 24 like conflicts of interest -- if you look back in
- 25 history, there are amazing conflicts that were allowed

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- 1 to take place, but now we have a proper system of rules
- 2 and I think it's important to emphasise how important
 - this is. When a new minister comes in and sits down
- 4 with their permanent secretary and goes through all of
- 5 their financial dealings, their financial holdings, this
- 6 is a very important part of not just getting the
- 7 conflicts on the record but establishing the culture and
- framework within which ministers operate. 8
- 9 Q. We'll look at the July 2011 amendment in a moment but in
- 10 the version of the code which we have in this bundle
- 11 under tab 7 -- it's described as the May 2010 version,
- 12 which does not contain the amendment which you advised
- 13 on in July of last year.
- 14 A. That's right.
- 15 Q. Of course, we've all pre-read it, but the relevant
- 16 sections in particular are section 7, which deals with
- 17 ministers' private interests, on page 14 of the internal
- 18 numbering.
- 19 A. Yeah.
- 20 Q. It's interesting that the drafters of the code, at 7.2,
- 21 refer not merely to a conflict of interest but also to
- 22 the perception of a conflict.
- 23 A. That's correct.
- 24 Q. And the issue of perception is taken up again in clause
- 25 7.7. There are various rules or principles which go

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1 outwith our Inquiry. Section 8 though is perhaps even

- 2 more relevant to this Inquiry. 8.2 -- this is page 18:
- 3 "In order to ensure the effective coordination of 4
- cabinet business, the policy, content and timing of all 5 major announcements, speeches, press releases and new
- 6 policy initiatives should, where possible, be cleared in
- 7 draft with the Number 10 press and private officers
- 24 hours in advance." 8
 - 8.14. Can I ask you to comment on that one, please:
- 10 "Ministers may meet people in organisations and
- 11 consider a wide range of views as part of the
- 12 formulation of government policy. Departments will
- 13 publish at least quarterly details of ministers'
- 14 external meetings."

9

- 15 So this covers, of course, a whole range of lobbying
- 16 activity, self-evidently, which would be relevant to the
- 17 formulation of government policy, but as your statement
- 18 says, meetings with journalists would not have been
- 19 thought to have been accommodated within this clause; is
- 20 that correct?
- 21 A. That is correct. So that's why, in my advice to the
- 22 Prime Minister in the letter which you have, I suggested
- 23 some amendments to the code to add -- it will be in the 24 new version, paragraph 8.15 -- all of their meetings --
- 25 well, meetings with specified editors, proprietors, all

1 of that, and in that note, I've suggested precisely what 2 the forms of transparency should be. That's correct.

3 Just going back to what we said earlier, I think 4 that the whole principle behind this was -- the point 5 about perceptions is basically a message of: err on the 6 side of caution in all of this.

7 Q. It might be said that a meeting with a journalist could

8 be relevant to the formulation of government policy, or 9

at least at the level of perception, but you might say:

10 well, one would need hard evidence for that, and so

11 interactions with journalists, as a matter of

12 definition, are likely to be outside 8.14, if I have

13 understood it?

14 A. That's right. If you look at my letter, this goes on --15 it talks about the transparency with meetings with 16 proprietors and editors. It doesn't go as far as to say 17 meetings with individual journalists, because, in 18 a sense, there will be conversations and meetings with 19 individual journalists which are the basic lifeblood of 20 politicians and the media interacting. If you see in

21 the House of Commons, there are many media 22

representatives there and ministers, they interact, they talk, they phone each other. So in a sense, I'm putting

the bar at the editor and news proprietors above. You could say: everything has to be recorded.

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1 some aspects of recent events but has that always been

2 the case? I mean, are we only thinking about this in

3 the last few years or has this been something that has

4 been knocking around in your mind for a long time?

5 A. A very, very long time. The truth is, all politicians

come into politics having developed a social circle

7 already. They have friends. It's a rather food thing,

8 in my view, that politicians have got quite normal

9 relationships and they have friends from different

10 backgrounds, different -- maybe in industry, they may be

11 trade unionists, they may be teachers, nurses. That's

12 a good thing.

13 LORD JUSTICE LEVESON: I entirely agree, and nothing I have

14 said should be taken as suggesting that in some way we

15 have to change that, because it's difficult enough

16 persuading able people to enter public life anyway. To

17 put further restrictions on them would make it even more

18 difficult, so I understand entirely that point. The

19 question is defining the line.

20 A. Right, and I've taken the view that we should define the

21 line at fairly senior proprietors and senior editors.

22 I think they are different because of the ability of

newspapers to very strongly support particular political

24 parties. So I think there is something to be said for

25 those things being noted in a transparent way, but they

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1 However, I think that would be disproportionate and

2 I don't think it would work.

3 LORD JUSTICE LEVESON: There's another problem, too, isn't

4 there, because there is also the prospect that actually

5 politicians might be quite friendly with some

6 journalists, or indeed, to take it away from journalism

7 entirely, that you have a lifelong friend who's worked

8 in a particular business or industry which then comes in

for ministerial consideration and that has to be

10 handled.

9

11

23

24

25

- A. Indeed, and I think you can have a situation where --
- 12 and what I've suggested is where you have a situation
- 13 where you have a lifelong friend who happens to work in

permanent secretary and you say you meet this person

- 14 industry X, what you should do is disclose that to your 15
- 16 socially all the time. If it were to happen that
- 17 a policy issue arose where industry X was absolutely
- 18 crucial and it would have a big impact on that, then
- 19 stronger degrees of transparency might be required and
- 20 you might need to remind the minister that actually this
- 21 person that they socialise with all the time, they have
- 22 to be particular careful or they might want to amend 23
- 24 when that was a big issue.
- 25 LORD JUSTICE LEVESON: That may arise in connection with

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their behaviour in some way during a particular period

- 1 shouldn't be stopped.
- LORD JUSTICE LEVESON: But you still then have to cope with 2
- 3 the fact that a minister might have a very good friend
- 4 who is in fact an editor that they've known for years
- 5 and years and years.
- 6 A. Yes.

23

- 7 LORD JUSTICE LEVESON: It's quite difficult then to
- 8 calibrate how you organise that, isn't it?
- 9 A. Well, I'd say if that's the case and the minister is
- 10 a very good friend of a particular editor, I would fall
- 11 back on transparency and say, "Look, in this case
- 12 because that person has that particular job and because
- 13 you have this particular job, I'm afraid you'll have to
- 14 be more transparent than you would about other friends
- 15 about when you meet", and you would remind them that
- 16 because the nature of their specific jobs, they need to
- 17 be quite careful what they talk about and what they
- 18 don't.
- 19 MR JAY: The advice you gave, Lord O'Donnell, in July of
- 20 last year is under your tab 2 and starts at our
- 21 page 05294.
- 22 A. Mm-hm. Yeah.
- 23 Q. It was advice to the Prime Minister --
- 24 A. Indeed.
- 25 Q. -- within a few days of the 13 July.

6

17

- LORD JUSTICE LEVESON: Indeed, It was within two days of it.
- 2 It was on 15 July. You set out your thinking there.
- 3 In paragraph 4, page 05295, you're looking only at
- 4 proprietors and senior editors and senior executives.
- 5 You're not looking at all journalists. You explain that
- 6 that would be disproportionate and we've heard your
- 7 evidence on that.
- 8 In paragraph 7, you're into the more difficult area.
- 9 You call it the rather more complicated area of social
- and political meetings. The most difficult area is
- 11 likely to be social meetings, particularly where there
- are long-standing personal friends involved.
- 13 A. Mm-hm.
- 14 Q. Is one aspect to it this -- and one perhaps might see
- 15 the same phenomenon with the Freedom of Information
- 16 Act -- that if you make -- if you overregulate and you
- drive people into clandestine interaction, so things are
- not recorded, you're in danger of creating an even
- 19 greater vice. Is that part of your thinking or not?
- 20 A. Absolutely. I think if it is just social and that's all
- 21 there is to it, well, then transparency, I think, is the
- 22 answer. If you get down to the stage of saving:
- 23 actually, this is something where we're going to monitor
- 24 incredibly closely who said what had and we want
- 25 a record of your social interactions, I think that gets

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- A. That's right, yeah.
- 2 Q. "The government will be open about its links with the
 - media, all meetings with newspaper and other media
- 4 proprietors, senior editors and senior executives will
- 5 be published quarterly, regardless of the purpose of the
 - meeting."
- 7 In using the term "meeting", which perhaps suggests
- 8 a degree of semi-formality, are you intending to include
- 9 there a social interaction or not?
- 10 A. Yes. I think when there's lunches or get-togethers of
- 11 that form, then I think the idea is they would be
- 12 included, yes.
- 13 Again, I think just that it goes back to the
- 14 Ministerial Code, the question of not just reality but
- 15 perceptions, and I think by publishing them all, we can
- be clear that -- hopefully we'll influence perceptions
 - and show that ministers feel they have nothing to hide
- 18 in these interactions.
- 19 LORD JUSTICE LEVESON: But don't you then have to go a bit
- 20 further -- I'm only asking you -- at least to identify
- 21 the headline. So if it's social, then you identify
- 22 that, or if there is something specific discussed --
- 23 I've seen some of the record, "general business" or
- words of very, very great generality, which actually
- 24 words of very, very great generality, which actually
- convey very little.

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- 1 into the ridiculous area, and obviously then you would
- 2 get into the world of -- you just shunt things into the
- 3 unregulated part. So if there's someone -- just imagine
- 4 someone being at a social occasion kind of taking a note
- $\,\,$ of who said what to whom, then nothing will -- you know,
- then you would push things into phone calls if they did
 want to say something they shouldn't. You can't
- 8 regulate everything, you can't regulate past -- they may
 - say something out of the hearing of somebody else. So
- 10 I think we have to be proportionate about this.
- Q. The public would perhaps be most interested in these
- social interactions because they will say: well, therein
- might lie the evidence which would demonstrate the
- inappropriate interaction. Would you agree with that?
- 15 A. And that's where I think it does make sense, with people
- like proprietors and chairs, that we are open and
- 17 transparent about this. There's nothing to hide, you
- 18 know. This is a person who I've been a friend with for
- a long time and I'm meeting them and I'm quite happy for
- 20 the world to know about that. That's why I think
- 21 transparency is the right solution.
- 22 Q. The amendment you recommended, which was going to be
- a new clause 8.15, which I believe has been accommodated
- into the latest version of the code, is at the bottom of
- 25 05296. Do you see that?

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- 1 A. Mm-hm.
- 2 LORD JUSTICE LEVESON: I entirely endorse the view you can't
- 3 start having detailed records -- that would go far too
- 4 far -- but I would be grateful for your view on some
- 5 clarity, because the risk otherwise is that you have
- 6 legitimately half a dozen such meetings and they all say
- 7 "general business", and then everybody says, "Hang on
- 8 a minute. I wonder what's going on here." That's the
- 9 problem.
- 10 A. The problem you'd have, in practical terms, of
- implementing that -- let's say somebody has a friend and
- 12 they have a social relationship where they both happen
- 13 to support the same football team and they both go to
- 14 that team's home games together. Are you going to have
- someone sitting in a seat beside them --
- 16 LORD JUSTICE LEVESON: Absolutely not. To some extent, we
- 17 have to trust everybody.
- 18 A. Indeed.

20

23

- 19 LORD JUSTICE LEVESON: And all these rules are for the
 - guidance of the wise and the obedience of the others,
- I suppose, as lots of rules in life are.
- 22 A. I absolutely agree with you, and so therefore I think
 - the -- just transparency, saying, "You went to these
- social occasions -- why?", "To watch the football",
- would be a sensible way around it.

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1 LORD JUSTICE LEVESON: No, it's actually not so much the 1 spoke to some editors and not other editors. 2 2 MR JAY: Moving on to special advisers now, Lord O'Donnell, social occasions because if you say -- I won't name 3 3 a football club because that will always get me into paragraph 22 of your statement, 05344. They're on the 4 4 trouble -- "attending XFC", then I think it's pretty interface between government and politics. 5 obvious. But if you're having what might be described 5 A. Uh-huh. 6 as a rather more formal meeting for a catch-up, where 6 Q. You say they provide political input to government 7 7 you might very well be discussing issues that ministers business which would not be appropriate for the 8 8 are entirely right to discuss with editors or permanent civil servants, and of course they're coming 9 proprietors, whether there has to be a little bit more 9 up through the party system and they build up 10 detail associated with that -- not minutes, but just 10 relationships with ministers often in opposition and 11 11 a little detail. I'm asking you, not telling you, not then are carried forward as special advisers in 12 12 suggesting this is a conclusion. I'm trying to use your government. That's the way it works, of course. 13 13 You've identified in paragraph 22 a change to the experience. 14 14 A. I'd probably be slightly stronger than you, in the sense code. We needn't turn it up, but paragraph 3.3 of the 15 15 Ministerial Code May 2010 edition does make it clear 16 LORD JUSTICE LEVESON: I might be very strong. I'm just 16 they uphold their responsibility to the government as 17 17 a whole, not just their appointing minister. (overspeaking). 18 A. Imagine there's a lunch and the lunch is to discuss 18 A. Indeed. 19 19 Q. How does that work in the context (i) a of the close a lot of political issues, trying to persuade 20 a proprietor that you have a set of policies which 20 relationship they might have with their minister, and 21 21 secondly in the context of a coalition government? deserve their political support. I'd expect in these 22 circumstances a Prime Minister to take along his Chief 22 A. Well, in a sense, it's particularly coalition that led 23 of Staff, let's say, political appointee, and that there 23 us to include that sentence, because what you wanted 24 24 might then be a record made of -- that that was the was -- clearly, the special advisers are politically 25 nature of the conversation. If it was a conversation 25 partial, that's precisely why they're put there, and Page 45 Page 47 1 which was going to stray into a lot of detail about 1 they will be politically partial to different parties 2 2 public policy, then you would expect there to be within a coalition government, and what you wanted was 3 3 a private secretary and for the private secretary to to be clear that when they were operating, they were 4 keep a brief note, and then, in terms of what you put 4 operating interests of the coalition government, not of 5 out there transparently, to try and -- without going 5 their political party. 6 6 into enormous detail -- just say there are certain I suspect that this is important and it will become 7 things. 7 very strained as we move towards the end of this 8 Now, of course, as soon as you get into the world of 8 Parliament, when you might expect with a coalition that 9 a brief note, then you get the question: well, is such 9 the political parties will start to think about 10 a brief note FOI-able or not? 10 differentiating their product ahead of a future General 11 LORD JUSTICE LEVESON: I understand the question, and you 11 Election. But I think it's really important that it's 12 get into another problem as well, don't you, because 12 there and that it's obeyed by special advisers. 13 then won't editors want fair treatment? And they will 13 Q. There's also a code of conduct specifically for special 14 look at the notes -- they'll look at the disclosures of 14 advisers, which is under tab 26 in the bundle you have. 15 the last two months and say, "Hang on a minute, you saw 15 A. Mm-hm. 16 the editor of the Sun six times in the last six -- and 16 Q. We have here the April 2009 amendment. Are you able to 17 you've only seen me once or not at all. I want fair 17 assist with the provenance of this document? Does it 18 treatment." I'd be very interested to know how you 18 have the same status as the code as some sort of 19 would approach that sort of issue. 19 executive instrument? Perhaps slightly lesser normative 20 20 A. I suspect that it's no secret that certain editors get force, really. It's not a code -- well, it is --21 in to see prime ministers more often than others. 21 A. It's a code. No, it's a code. It's the same as -- in 22 22 That -- I think transparency in that area might be quite a sense -- there's a Ministerial Code, there's a code of 23 23 healthy, might create just those sorts of issues and it conduct for civil servants and a code of conduct for 24 will generate some interesting questions, and I suspect 24 special advisers. So I regard them all as being the

prime ministers will be quite happy to defend why they

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25

documents which all of those different groups should

1 regard as the key document for them to decide precisely 1 years, it's usually been because they became a bigger 2 2 story than the minister they were appointed to serve." what they should do. It's their key guidance document. 3 3 Q. So this would be drafted by civil servants and improved That's your own take on past events? 4 4 A. Well, you might want to discuss that with the witness by ministers? 5 5 A. By the Prime Minister. That's right. you have this afternoon. Q. But not before Parliament? 6 Q. Okay. That's fair enough. 7 7 LORD JUSTICE LEVESON: Do you intend to cover the time when A. No, it's an executive document. Although Parliament has 8 access to it and could -- the public administration 8 we moved from civil servants acting as press officers to 9 9 directors of communication? Select Committee might well want to comment on it. 10 10 MR JAY: Maybe we should. Q. Paragraph 3 of the code of conduct identifies the sort 11 11 LORD JUSTICE LEVESON: At some stage. It might be time to of work a special adviser might do for his or her 12 12 give a break to the shorthand writer, so we'll just take minister. Paragraph 4: 13 eight minutes. Thank you. 13 "Temporary civil servants appointed under article 3 14 14 of the Civil Service Order in the Council." (11.17 am) 15 Although towards the end of paragraph 4, we see: 15 (A short break) 16 "The responsibility for the management and conduct 16 (11.27 am) 17 17 of special advisers, including discipline, rests with MR JAY: At paragraph 25 of your statement, Lord O'Donnell, 18 the minister who made the appointment." 18 you deal with the appointment of Alastair Campbell as 19 Which, of course, would be different with a civil 19 Director of Communications in 1997. An order in council 20 servant for obvious reasons. 20 granted him the power to instruct civil servants. You 21 21 A. Absolutely, yes, and legally different post the passing thought that the power was an inappropriate one for 22 of CRAG^name , the Act. 22 a special adviser to have. 23 23 Q. Contacts with the media, which is paragraph 10 and Did you give any advice at the time about this or 24 24 following: 25 25 "Special advisers are able to represent ministers' A. No, I was at that point in the Treasury, I think. Page 49 Page 51 Q. Why did you, however, reach that conclusion? views to the media with a degree of political 1 2 2 commitment." A. I think it just blurred those lines between what special 3 3 advisers does and what civil servants do, and I think, 11: 4 "All contacts with the news media should be 4 with hindsight, it didn't work as well as it should have 5 authorised by the appointing minister and be conducted 5 done because I think it created the idea that the civil 6 6 in accordance with the guidance on government servants were obeying some rules by someone who was 7 communications." 7 politically appointed, which meant that they also would 8 Is one looking for express authorisation in every 8 be politically biased, and so it -- I don't think it was 9 9 a good idea. I was very pleased when it was abandoned, instance of contact? 10 10 and I did advise that it should be abandoned, and that's A. No. 11 very good. I don't think it's an experiment we will try 11 O. That wouldn't be feasible? 12 12 A. That would not be feasible, no, nor practical. It's again, I hope. 13 13 Q. Do you have any personal knowledge, though, of just a general feeling that a minister would say, 14 instructions which were given to civil servants of 14 "I would like you to take charge of briefing on this 15 a political nature pursuant to the powers that were had? 15 specific area in conjunction with the press office." 16 16 Q. Section 12: 17 LORD JUSTICE LEVESON: But a director --17 "Special advisers must not take public part in 18 political controversy ..." 18 A. I think it was more a perception issue than anything 19 19 So those are the general principles, and you pick 20 LORD JUSTICE LEVESON: A Director of Communications is still 20 them up in paragraph 23 of your statement. 21 21 a post in being, isn't it? 22 22 Q. In particular, the fact the special advisers should only A. Absolutely, but it's a Civil Service post. Well, sorry 23 23 there are different posts. Let me clarify. There's brief the media with appointed ministers' express 24 24 authorisation. You say: someone overall who will look after all of the press 25 25 officers and run both the Civil Service, generally "Where special advisers have had to resign in recent Page 50 Page 52

1 someone based in the Cabinet Office, and there's 1 the political parties, you would find that they would 2 a Prime Minister's official spokesman, currently Steve 2 split very much more pushing their particular party 3 3 Field, who works in Number 10, a civil servant, and then rather than the Coalition government. 4 4 LORD JUSTICE LEVESON: And the risks? there is a Prime Minister's special adviser on media 5 matters, which is what Mr Coulson was, Mr Oliver is now. 5 A. The risks are that this person doesn't entirely accord 6 So there are those three posts. 6 with the special advisers' code and goes overboard into 7 7 The point about the third one, the special adviser, being a true party political, starts attacking the opposition and all the rest of it. 8 8 is that they have no powers of ordering civil servants. 9 The other two are civil servants. 9 LORD JUSTICE LEVESON: Because actually doing it from 10 LORD JUSTICE LEVESON: But who is the one -- I know the 10 Number 10 carries with it an authority. 11 11 answer to the question, but who is the one that now does A. Indeed it does, and in a sense it's quite important that 12 12 what you did when you were the Prime Minister's press that authority is there with the media, because the 13 secretary? 13 reason you want the Prime Minister's official spokesman 14 14 A. Steve Field. What -- I think it's fair to say what and that special adviser role to carry the authority of 15 we've seen in the period since I was doing it in 1992 15 the Prime Minister is that it's clear that, as it were, 16 has been the growth of the importance of that special 16 it trumps individual officers so that it's very clear 17 adviser in number 10. In the past, that wasn't such 17 that's the government view and that you co-ordinate it 18 18 across government to come to that view. Sometimes when a big person. 19 LORD JUSTICE LEVESON: But obviously one only had to live 19 you're talking about this being out there with the 20 through the years and see the significant role that 20 political parties, they would tend to kind of have one 21 21 Mr Campbell occupied and held. Has that role diminished faction or another, and it's not as obviously 22 post Mr Coulson, and if so, do you think that's a good 22 co-ordinated across the whole. 23 idea? If not, do you think that's not a good idea? 23 LORD JUSTICE LEVESON: I see. 24 24 A. No, I think what's tended to happen is in my day I would MR JAY: May I move you through your statement, still on the 25 do overall all the government material as press 25 theme of special advisers, to page 05352 under the Page 53 Page 55 1 secretary. If they wanted to do a lot more of the party 1 rubric "General questions about special adviser 2 2 style, they tended to use the party machines, the conduct". 3 3 central office or Smith Square, and what happened over A. Uh-huh. 4 time is I think they've decided that they'd much rather 4 Q. At question 14, you make the point: 5 have that in Number 10, and have that element of 5 "... a shift towards special advisers with the media 6 a special adviser role in Number 10 doing part of that 6 or PR background, rather than a strong policy 7 job. Obviously they can't do the same as the people in 7 background, which is to be regretted in my opinion." 8 the party political offices, and the special advisers' 8 It's probable self-evident, but what is the basis 9 code explains that there is quite a strong distinction 9 for your regret? 10 between those two, but they wanted to have that more 10 A. Well, when I look back on my times of those special 11 political element inside Number 10. 11 advisers I think who had most impact, they were ones 12 LORD JUSTICE LEVESON: But does that create a risk? 12 who -- they might have understood about handling the 13 A. I think it -- it certainly creates a risk, but it also 13 media but they also had a very strong understanding of 14 14 solves a risk. The risk it solves is that if there the subject matter, and I think if you go across the 15 isn't anyone there that kind of handles the issues of 15 board through all the political parties, I think some of 16 the day with a party political slant, that actually the 16 the most successful special advisers were really on top 17 civil servant gets dragged into it, and I think -- there 17 of their subject matter. I look back on economists we 18 have been occasions where there have been -- people have 18 had in Treasury who were special advisers who really 19 argued that's happened. So I think it's quite a good 19 understood the economics, and there were examples both 20 20 idea. You get rid of that risk by having someone in during the Conservative period -- Bill Robinson would 21 Number 10. Also, they don't become -- and I think it's 21 be, I imagine, a good example -- and in the Labour 22 22 particularly important now that that person's in period, someone like Ed Balls, a trained economist as 23 23 Number 10 with a coalition, where they have someone a special adviser. So I think it helps if your special 24 alongside them from the Lib Dems so that they can manage 24 adviser really understands the subject. 25 25 this process, whereas if you'd outsourced it totally to Q. In question 15, you explain:

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1 "Recent events have demonstrated the need to keep 2 special advisers out of areas where ministers are 3 operating in a quasi-judicial capacity." 4 We may come to that in a moment. It's the last 5 sentence, I think, in particular: 6 "I think we do need to find better ways of policing 7 the compliance of special advisers with their code, 8 perhaps by making it clearer that the Prime Minister's 9 Chief of Staff has a very important role to play in this 10 area." 11 Could you expand on that, please? 12 A. Certainly, and this is a recent thing. The amendment we 13 made to the special advisers' code about them operating 14 for the government as a whole I think is important. If 15 you're a special adviser, it's actually quite a lonely 16 job. You're working within a department for the 17 particular minister that appointed you. To understand 18 the nuances of where department A and department B have 19 very different views and how that's been reconciled, and 20 in order for you to explain the new reconciled policy 21 and not get stuck in trying to explain actually what

> I think, in making sure -- and I think your witness this afternoon, Alastair Campbell, would get together special

department A thinks rather than department B is quite

and assistance in that process, and I think the Chief of

Staff has a role to play and indeed does play this role,

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a subtle role, and quite important that they get help

advisers to actually explain the overall government

4 position. 5

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I think the point to stress about special advisers rather than civil servants is the absence of a standard management structure. They don't have a manager, as such. They don't have a set of objectives, annual appraisals, all those sorts of things. I've tried to push that, and I think current government is going to move some way in these directions, but I think we expect a lot of special advisers working within a specific department for a specific minister to actually understand and operate to working for the best interests

15 for the whole of government. 16 Q. You were involved in the one aspect of the problems 17 which arose in connection with ministers occupying 18 a quasi-judicial role. Tab 31, Lord O'Donnell. After 19 Dr Cable's --

20 A. Yes.

21 Q. 21 December 2010. The shadow business secretary wrote 22 to you, and the Financial Times have set out here the 23 text of his letter, pointing out that Mr Hunt might not

24 be able to bring an open mind to the issue either. You

25 replied under tab 32.

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A. Mm-hm.

2 Q. This is on the very day, 22 December. Particularly the 3 last paragraph:

"In advance of taking the decision to move ministerial responsibility yesterday, the Prime Minister specifically asked me whether there was any legal impediment to moving it to Mr Hunt. I took advice from lawyers and provided advice that there was no such impediment. I was, of course, aware of the former

11 Which you cite.

statements from Mr Hunt."

"I am satisfied that those statements do not amount to a pre-judgment of the case in question. Indeed, the third quotation specifically states that Mr Hunt would not want to second guess what regulators might decide."

So you acted on legal advice and the problem may lie more in giving these decisions to ministers in the first place, rather than the particular decision you made on this occasion.

20 A. (Nods head)

21 Q. Do you have a view about that?

A. Well, at the moment we have a system which gives these sorts of decision to ministers. So the issue for me was: which Secretary of State should get it? And the issue was clearly about media, so, surprise, surprise, Page 59

1 you think first of all of the Secretary of State for

2 Culture, Media and Sport. It's perfectly within their

3 provenance, and so that seemed to me the right place to

4 transfer the work. There were a number of ministers

5 who'd made a number of comments. I think the legal

6 question as it was put to me was: do those ministers'

7 comments amount to a pre-judgment of the issue? And

8 that's where the lawyers were clear that it didn't and

9 therefore, that -- it seemed to me it was entirely

10 appropriate to do it. There's obviously an entirely

11 separate question thereafter about how it was done, but

12 from the point of view of appointing a minister, that

13 seemed to me -- I was told that this clearly did not

14 amount to him having a close-minded view.

15 LORD JUSTICE LEVESON: Two points come out of that. First

16 of all, it was quite clear that before the decision was

17 made that Mr Hunt should do the job, his observation on

18 the website, which has been reported much in the press

19 of late -- that, like all good Conservatives, Hunt is

a cheerleader for Rupert Murdoch's contribution to the

21 health of British television -- was well-known and well

22 reported?

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A. Absolutely. 23

24 LORD JUSTICE LEVESON: The second point. Somebody has

25 said -- I can't remember who, but somebody has said that

1 actually all leading politicians, of whatever political 1 about the basis on which they're doing it and the way 2 persuasion, will have strong views one way or the other 2 they have to do it, and they have their lawyers 3 3 about Mr Murdoch and the risk then comes -- the question alongside them throughout the whole process and they're 4 4 then arises how you get these decisions made, which very careful about it. I think on balance, I would 5 actually leads into what Mr Jay was then asking you. 5 stick with these being done by secretaries of state. 6 Obviously you're getting the advice and you won't be 6 LORD JUSTICE LEVESON: The real question is: does the 7 surprised that I am perfectly content that you take 7 relevant Secretary of State have such a mindset, which 8 legal advice and then act on it, but there are all sorts 8 is perfectly understandable -- he's entitled to his 9 of ramifications which are outside the pure law here. 9 views like everybody else -- that he can't approach the 10 10 question objectively and make a decision which is A. Indeed there are, and you could -- I mean, we could have 11 11 balanced, with all the factors in mind, rather than one the discussion -- but it might take us beyond the remit 12 12 that is -- I don't say prejudiced but pre-judged, of your Inquiry -- as to whether it's appropriate that 13 13 these sorts of decisions are dealt with in this way. because of the underlying background. It may be -- and 14 14 I'm not doing a legal analysis -- that that's what is But that's the way we do deal with them and if you want 15 to take the view that -- I'm even being cautious about 15 meant by "quasi-judicial". 16 using the phrase "quasi-judicial" because I know there 16 A. It could be, but remember that if -- I mean, any 17 are some lawyers who argue about the use of that phrase, 17 decision made will be subject to a JR, and during the JR 18 and some would argue that politicians are -- precisely 18 process I suspect that people that wanted to question 19 19 the decision would be making precisely that argument, so because they have views, are the right people to take 20 20 these sorts of decisions. it would be important, I think, when deciding that an 21 21 But there is a debate to be had as to whether you individual Secretary of State was the right person to do 22 would want to the say: "Actually, in these sorts of 22 it, that you'd take a view about whether such a JR would 23 23 areas, we might want to do it in an entirely -- give it be successful or not. 24 24 LORD JUSTICE LEVESON: Yes, but one has to be rather careful to a judge, for example." 25 LORD JUSTICE LEVESON: Oh, enough. The judges have more 25 here, because if you judge in terms of whether Page 61 Page 63 1 than enough to do. But I'm not sure that it seriously 1 a judicial review will be successful, the risk is that 2 2 does take it outside the terms of reference in which you start to focus on the process rather than actually 3 3 I apprehend you had more than a little hand, because the what's going on underneath the process, because it is 4 terms of reference do require -- I'll just try and get 4 frequently said that judges are very good at making 5 hold of them --5 their decisions proof of -- and ministers proof from 6 6 A. That's outside -- my memory's quite good on -- you're judicial review. That's not necessarily an absolute 7 absolutely right. If you were to say, one of the 7 guarantee that they haven't approached it slightly 8 lessons from these experiences is that actually you 8 differently. 9 think we should amend this system and that it shouldn't 9 A. Absolutely, and all I think we can do from the Civil 10 be done in this way in the future, then I think that 10 Service side is to actually ruthlessly emphasise the 11 will be a very important finding one way of the other. 11 process, the importance of taking legal advice, the 12 LORD JUSTICE LEVESON: Yes, it would be a very important 12 considerations that should be applied and shouldn't be 13 13 finding but the question is whether I should go there. applied, the need in the whole process to show absence 14 14 You have an experience of this sort of issue -of bias, and I think that's the crucial part. 15 15 A. Yes. LORD JUSTICE LEVESON: Or perception of bias. LORD JUSTICE LEVESON: -- that goes back many years. 16 16 A. Or perception of bias, indeed. 17 A. Indeed. 17 LORD JUSTICE LEVESON: Which is always more difficult. 18 LORD JUSTICE LEVESON: And people are entitled to say that 18 A. Indeed. And there are legal rulings which make it clear 19 judges have certain experiences but not those and 19 that you have to manage this process and get the 20 20 therefore who I am I to say. That's why I need your exact -- you know, that a respected outsider, as it 21 21 were, would be happy with the decisions that were made. 22 22 A. To be honest, I've thought about this a lot and in the LORD JUSTICE LEVESON: The corollary, is: well, if it's not 23 23 end, I come down to the view that actually probably going to be a minister, who is it going to be? It's 24 elected politicians are in quite a good place to take 24 always easy to pull out the file marked "judge", but 25 25 these decisions, as long as they're very, very clear judges aren't necessarily in the best position to make Page 62 Page 64

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1 policy decisions.

2 A. No.

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3 LORD JUSTICE LEVESON: Based upon a framework which they

4 have to react around that decision, or you have to have

5 some select group, which itself creates its own

6 problems.

A. I totally agree with that. I would say this is an

8 example of a policy decision, and if you think about it,

ministers are making these sorts of policy decisions all

10 the time and they're weighing up what in their view is

in the public interest. What gives them the right to do

that, I think, is the fact they've been elected to do

precisely that. That's why, in the end, I come down to

the view that it should be ministers that do these

things, but subject to -- when we're in this sort of

area, subject to very careful rules about process.

I've observed other circumstances where we've kind

of outsourced the view about assessing the public

interest to others, and they're not always, to my mind,

20 satisfactory.

21 LORD JUSTICE LEVESON: The Competition Commission?

22 A. What I had in mind was the panels of freedom of

information tribunals, to be honest, trying to assess

24 public interest versus safe space arguments for

25 government. I think it's really hard for those panels

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1 frequent access to the highest classification material.

2 Only very small number of individuals are DV cleared,

even within Number 10 and the Cabinet Office."

4 Can I ask you a couple of questions about this.

5 Were previous holders of Mr Coulson's post DV'd or not,

6 to your knowledge?

7 A. It's a question of what you define as the post, but

8 I think in general terms they -- well, I'm just trying

to think who would have been the equivalent before.

 $10 \qquad \hbox{I guess Alastair Campbell probably would have gone} \\$

11 through DV'ing. Quite often you get a situation where

12 they might start off not being DV'd and then you will

consider whether they're going to operate the job in

14 a way in which it means that they do get involved in

some of these issues, where they would need -- you can

16 have access to top secret under SC clearance, which

17 Mr Coulson had, but it's frequent access that would be

 $18 \qquad \ \ \, \text{the issue, and it just depends on how those individuals}$

19 operate in their job.

20 Sorry, I can't remember offhand precisely all of the

21 past holders of that post, whether they had it or not,

22 but I'm sure we can provide that information.

23 LORD JUSTICE LEVESON: Would that be done on a circumstances

24 basis or --

25 A. Yeah, in general it's --

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to actually have the experience to understand the safe space part of it.

3 MR JAY: Lord O'Donnell, you also were involved in the

4 aftermath of the Mr Coulson issue. Under tab 30, you'll

5 see a letter you wrote on 22 July 2011 to the Shadow

6 Secretary of State, Mr Lewis.

A. Mm-hm.

8 Q. You say this:

"Neither the Deputy Prime Minister nor the royal household raised any concerns with me or officials either before or during Mr Coulson's period of employment as a special adviser. I have to admit to being somewhat surprised to be asked about Buckingham Palace when they have already clearly said on no occasion did any officials from Buckingham Palace raise concerns to Downing Street and indeed it is outrageous to suggest this. Neither were any concerns raised with my by the Prime Minister or any other special advisers about Mr Coulson's conduct in previous employment."

On the issue of vetting -- it's on the next page, Lord O'Donnell -- you point out, if I can paraphrase it: he was cleared to SC level, which allowed him access to secret papers. This is one level below DC, higher than CT. Then you say:

"DV is required only for individuals who require Page 66 1 LORD JUSTICE LEVESON: -- routinely?

2 A. Some people operate in that job would say, "Look, what

3 I really want to do is get involved in the economy" --

4 a whole set of issues which basically didn't go into the

5 kinds of things that we would -- where regular top

6 secret access was required, and they just wouldn't want

7 to go there. It quite often turned out that they would

8 start off with that view -- or, in this case, the

9 Number 10 permanent secretary would have that view --

and then, as events changed, they would realise -- the

first big terrorist event came along and then there

would be a lot of papers which, by their nature, were

all top secret, and then you would say, "Actually, this

isn't working, we need to give access to this", or: "It

would have been better if that person had access to

16 these papers routinely, therefore we've decided ..."

17 And this is what happened with Mr Coulson: we decided in

18 the light of the terrorist incident, the airline bomb

19 plot, that actually it made for sense for him to be DV'd

so we could give him regular access to these papers.

21 Up to then, it hadn't been an issue because I don't

think he'd been that interested in those aspects of work

23 which would have required them to have top secret

24 access.

25 LORD JUSTICE LEVESON: So a lot depends, do I gather from Page 68

- 1 what you say, on what's happening at the time? So
- 2 certainly under Mr Campbell's period in office, in the
- 3 role that he occupied --
- 4 A. Yes.
- 5 LORD JUSTICE LEVESON: -- there were matters which were
- 6 likely to involve considerable access to top secret --
- 7 A. Yes. When you're at war, clearly --
- 8 LORD JUSTICE LEVESON: That's what I had in mind.
- 9 A. Absolutely.
- 10 LORD JUSTICE LEVESON: But in the light of the public
- interest in just this issue, and the inferences that
- have been argued, it might be worthwhile identifying if
- and when each of the comparative equivalent holders of
- 14 that particular post received the higher level of
- 15 vetting.
- 16 A. Yes.
- 17 LORD JUSTICE LEVESON: Only to demonstrate that there isn't
- a smoking gun here. If there is, then there is. I'll
- be happy to allow the Inquiry's offices to be used to
- demonstrate that there isn't, so at least that
- 21 particular argument can be dealt with.
- 22 A. I'm very happy to give information on precisely when
- previous occupants of the office were DV'd and we can
- 24 give you that detail. No problem about that.
- 25 I think I should stress that the decision is --

- 1 I say, SC level allows people access up to "secret" and
- 2 occasional access to "top secret". If you're getting
- a vast number of people having regular access to "top
- 4 secret", you do have to worry from a security point of
- 5 view that there's just too many people seeing top secret
- 6 documents.
- 7 LORD JUSTICE LEVESON: And the risk of leaks.
- 8 A. The risk of leaks, exactly.
- 9 LORD JUSTICE LEVESON: We have that even without secrecy.
- 10 A. Precisely. I'm sure you're aware of that. So as the
- 11 person sort of in overall responsibility for this,
- 12 I want top secret papers to be kept on a restricted
- circulation, please, so I want them to be just to the
- 14 DV'd. When people say to me: "Do you know how many
- people there are DV'd?" I say, "Well, actually, we
- should look at that and keep it as tight as we need to."
- 17 So these should be really on a need-to-know basis. So
- 18 I think I do have a bias towards trying to keep the
- 19 numbers low.
- 20 MR JAY: The other aspect of procedures you might be able to
- assist us about is the ascertainment of any possible
- 22 conflicts of interest, and whether matters such as
- shareholdings are routinely asked for in disclosure
- 24 forms or whatever. We're looking obviously now at May
- 25 2010.

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- 1 DV'ing takes a while, so on day one, if you bring
- 2 someone into the office, by their nature you have
- a problem about having them in the right vetting space
- 4 straight away. So you do have to restrict them from
- 5 various things. It was just felt that SC, given
- 6 Mr Coulson's interests and the fact that he seemed to be
- 7 very much domestically focused, that it would be -- we
- 8 would be able to do that, but actually, when it became
- 9 apparent during a real terrorist incident that this
- didn't work, we started the process of DV'ing.
- 11 I think some people have different understandings of 12 what DV'ing would reveal. It wouldn't have gone into
- enormous detail about phone hacking, for example.
- 14 LORD JUSTICE LEVESON: No. It's concerned with whether
- you're likely to be a risk.
 A. Whether you're blackmailable, basically, yes,
- 17 absolutely, and in terms of your financial position or
- 18 your personal life.
- 19 LORD JUSTICE LEVESON: Yes. Do I gather from the next
- sentence in your letter that you'd taken the decision
- that actually the number of staff in Number 10 should be
- kept as low as possible or has that been a longstanding
- 23 decision?
- 24 A. No, that's -- in general, you try not to have an
- 25 explosion of how many people go through DV'ing. Like

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- 1 A. And the answer is: yes, they are, and there's a form
- 2 which has to be signed which should disclose
- 3 shareholdings.
- 4 Q. Is this all shareholdings or any shareholdings which
- 5 might be relevant?
- 6 A. Conflicts, basically, is what it asks about.
- 7 LORD JUSTICE LEVESON: Well, again, in the light of what
- 8 we've heard, was such a sign formed by Mr Coulson?
- 9 A. A form signed, but it didn't disclose the shareholding
- 10 and it should have done.
- 11 LORD JUSTICE LEVESON: He's said that it was an explanation,
 - not an excuse. Well, the second half of your answer
- answers the next question I would otherwise have asked.
- 14 MR JAY: Last aspect of special advisers: did you give
 - advice at any stage to Mr Brown about the activities of
- 16 certain of his special advisers? In particular,
- 17 Mr McBride, but not necessarily limited to him.
- 18 A. I did have a conversation with Gordon Brown when he was
- 19 chancellor. I felt that what Damian McBride was getting
- 20 into as press secretary was in the areas where it would
- 21 be more appropriate for Damian McBride to be a special
- 22 adviser than a civil servant and that status change was
- 23 made, yes.
- 24 Q. So he mutated from being press secretary to special
- adviser, given the sort of things he was doing, to put

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- 1 it neutrally?
- 2 A. I think he was clearly someone who had the capacity to
- 3 operate in the special adviser mode, and after
- 4 discussion, we felt it was probably more appropriate
- 5 that that's where he operated.
- 6 Q. Okay. At paragraph 27 and following, you deal with the
- 7 position of civil servants.
- 8 A. Yes.
- 9 Q. In particular, the Phillis review. Can you explain to
- 10 us the background to that review? Of course, it was in 11 2004.
- 12 A. Yes. I remember I was permanent secretary in the
- 13 Treasury then. The background was very much in relation
- 14 to your witness this afternoon, Alastair Campbell, and
- 15 some worries about how the whole government
- 16 communications machine was operating. There was an
- 17 independent review led by Mr Phillis which came up with
- 18 various recommendations, a number of which -- and
- 19 principles which have been incorporated into the
- 20 guidance for press officers.
- 21 Q. If you look under tab 24, you'll see the review itself.
- 22 You're right to say you're not yet cabinet secretary in
- 23 2004.
- 24 A. No. I didn't really have anything to do with this.
- 25 I was busy working on the economy then.

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- Q. Under section 4, page 6 on the internal numbering, we
- 2 have the context and the evidence: a breakdown in trust,
- 3 diminishing trust in the media. Then the background to
- 4 the breakdown is on page 7. Do you see that?
- 5 A. Yes.

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- 6 Q. "We were told that three major factors had contributed
- 7 to the breakdown of the relationship between government,
- 8 the media and the public. 9
 - "1. The communications strategy adopt bit Labour administration on coming into power in 1997.
 - "2. The reaction of the media and the press in particular to that.
 - "3. The response of the Civil Service to the new

demands that were placed on it. "Labour's past experience in handling the media, and its belief that government communications staff were not

up to the mark saw a rise in the media handling role of politically appointed unelected special advisers. Their more aggressive approach and their increased use of selective briefing of media outlets, in which government

- 21 information was seen to be used to political advantage,
- 22 led to a reaction from the media that has produced a far
- 23 more adversarial relationship with government."
- 24 Is that close to the mark or not in your view?
- 25 A. Like I say, at the time I was operating in the Treasury

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- and very much as permanent secretary there, but
- 2 I think -- I have no reason to question what the Phillis
 - review came up with. I think it may overstate the --
- 4 I think that was a trend that was happening anyway, but
- 5 it was certainly more marked post the change of
- 6 administration.
- 7 Q. It may be appearing to be placing all the blame, if
- 8 that's the right way of putting it, on one individual,
- 9 but subject to that qualification, would you agree with
- 10 the general sentiment?
- 11 A. I think the general sentiment, yes, in that there was an 12 issue, I think, with whether government communications,
- 13
- communicators -- there were certain areas where they
- 14 were deemed not to be as effective as they might be, for
- 15 example, in the areas of instant rebuttal. The whole
- 16 point about 24/7 media was that it was becoming
- 17 a process whereby you really had to have press officers
- 18 that were staffed up and capable throughout the 24
- 19 hours, and I think prior to that, there had been a kind
- 20 of feeling that you could manage this with rather less
- 21 resources. So the resources allocated to media were
- 22 increased quite substantially.
- 23 Q. In one sense, you may be best placed to deal with the
- 24 third point, which is the response of the Civil Service
- 25 to the new demands that were placed on it. Can you
 - Page 75
 - assist us with that?

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- 2 A. Yes. From the Civil Service point of view, I think the
- question was -- it comes back to what I've said. There 3
- 4 had been this massive growth in media outlets and the
- 5 question was whether the press officers themselves were
- 6 up to managing a situation where you had 24-hour news,
- 7 you know, things happen instantly. When there was
- 8 a terrorist event, you might get people using their
- 9 mobile phones to put footage out straight away, and were
- 10 we up to and capable of monitoring the media operation
- 11 24/7 and of responding in real time? And I think that
- 12 was the issue, that we needed to get better at our
- 13 response times and our ability to co-ordinate positions
- 14 really quickly.
- 15 Q. Mr Phillis's report also deals with the role of special advisers again at page 10. Evidence of tensions between 16
- 17 their respective roles. Well, that's something you've
- 18 spoken to. The next paragraph:
- 19 "Many of them concentrate their limited time on the 20 political reporters in the lobby and on a handful of
- 21 specialists. We have been told that this has created an
- 22 inner circle of reporters who have good access but
- 23 a disenfranchised majority who do not. This can leave
- 24 reporters dealing with a sometimes poorly informed and
 - demoralised press operation. The way some have operated

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1 1 I appreciate I'm dancing back, but I'm just focusing on has also led to a blurring of information and comment." 2 2 Again, would you agree with that sentiment or not, the evidence you've given on the absence of a structure, 3 3 from your own experience? a managerial structure of control, and the fact that the 4 4 A. Well, I mean there was obviously an issue about special adviser works to his or her minister without 5 journalists that were in the lobby and journalists that 5 that sort of supervision, subject only to the relevant 6 6 authority from the senior Civil Service, presumably the weren't. That was one issue. The ones that were in 7 7 Permanent Secretary. Has that always worked? felt that they had better relationships. I think now 8 8 A. No, I think it's -- it is, like I say, a weakness in the with lobby notes being placed on the record, you get 9 9 round that so it's all there for anyone to see. Also, system in that I think various special advisers in 10 10 there are various -- the Press Association, PA, for Number 10 -- Alastair Campbell, Ed Llewellyn -- have 11 11 example, who put material out from the lobby. tried to bring together the special advisers and create 12 12 Where there is an issue, I think, is at times some sort of cohesion, but to my mind the problem is 13 I think the focus has been too much on the national 13 that there isn't a sort of managerial responsibility and 14 14 the fact is special advisers are employed by the media and it hasn't given enough emphasis on the 15 15 minister, so if there's an issue about a special adviser regional media. They are representative at the lobby 16 but not in great numbers and I think it does tend to 16 violating the code, it's not a matter for the Permanent 17 Secretary; that's a matter for the minister. And it's 17 create this London-centric atmosphere. the ministers that have to decide on whether they should 18 LORD JUSTICE LEVESON: Interestingly enough, there's 18 19 19 a comment in the box there which I'd not previously stay or not. 20 focused on but comes to mind in the light of one of your 20 LORD JUSTICE LEVESON: I understand. But I rather gather 21 21 from something you said that the Permanent Secretary did previous answers to do with the difference between 22 special advisers and press officers, from Adam Boulton: 22 have a role in relation to what special advisers could 23 "The present elision of political and Civil Service 23 or should do. 24 24 information is benefiting no one. In the short term, it A. The minister has responsibility for the special adviser. 25 25 The minister, in saying, "Did my special adviser do the gives the government more wiggle room because no one Page 77 Page 79 knows where they stand, but in the long run it has right thing here?" may well consult his permanent 1 1 2 2 damaged the credibility of government statements, 3 3 including denials of allegations against it." 4 Do you have a comment on that? 4 5 A. This goes back to the point I made about I think it's 5 6 6 really important that the Prime Minister's official 7 spokesman is a civil servant and that's the clear 7 8

8 government line. If there is a desire to get some 9 political spin on that, then you can talk to the special 10 adviser, but everyone knows that what the 11 Prime Minister's official spokesman says is the 12 government's position and there's no wriggle room, there 13 shouldn't be any wiggle room, and I agree with what Adam 14 Boulton's saying there, that actually it's in nobody's 15 interests, this. You really need to know: this is the 16 government's policy and here's what it is, and someone 17 needs to be able to say that definitively and with 18 absolute credibility. That's the importance of the 19 Prime Minister's official spokesman. 20 Q. There weren't any other points on Mr Phillis. The

The police and the media now. Paragraph 39 of your

LORD JUSTICE LEVESON: Just before we go onto the police,

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while we're still talking about special advisers,

report otherwise speaks for itself.

secretary, who would say, "Actually, the special advisers' code says the following, and it appears your special adviser -- either what he did was fine or wasn't fine", and will give advice to the minister. But it's very much the ministers who are responsible for this. Whereas if there was a civil servant who was accused of breaking the code, then it would be for the Permanent Secretary to sort out without reference to ministers. LORD JUSTICE LEVESON: You will be aware that considerable attention has been focused recently upon the relationship between a special adviser to the Secretary of State for Culture, Media and Sport and a PR representative of News Corp. A. Mm-hm. LORD JUSTICE LEVESON: Without making any decisions -because of course, you are not there to do that any more -- is there any assistance or light that you can shed on how the relationship should have worked, who should have got authority for what and how that should have happened? A. Well, the -- I think it's clear in the special advisers' code that in terms of authorisation, ministers should authorise their special advisers as to what they do, for example, with the media. I would have expected the Page 80

statement.

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1 minister to be clear about what he thought his special 1 examples of questions about hospitality -- and the 2 2 adviser should be doing. Particularly, I think, the culture -- I mean, it's so different from a Civil 3 3 minister and permanent secretary will make clear what Service culture where we basically say to people: "Look, 4 the nature of engagement should be in a -- if we're 4 there's a press office, it's their job to deal with the 5 going to use the shorthand -- quasi-judicial procedure, 5 media." I wouldn't expect senior civil servants to be 6 6 having close relationships with the media. They might 7 LORD JUSTICE LEVESON: And would you expect that to be 7 do a particular briefing on a specific subject. I would 8 8 documented? expect all of our hospitality to be -- for senior civil 9 A. Not necessarily. I mean, these are fairly regular and 9 servants to be very explicit, very transparent, to be 10 10 routine things. They're slightly different in every out there. I wouldn't expect there to be a lot of it. 11 11 particular case. If, for example, you're in DCLG and So it's a very different culture, I think. For us, 12 12 you're dealing with a planning issue, it's different. you'll find that -- and you can look at all the records 13 13 If you're dealing with a technical, economic or that have been disclosed -- there's very limited 14 financial issue, again, it's different. 14 interaction with journalists in general amongst the 15 So these areas have slightly different aspects to 15 senior Civil Service and what there is is out there 16 them, but in general, the principles of keeping all 16 transparently and I think that's the right way to do it 17 17 parties informed about process is perfectly reasonable, and I think you should channel these things through your 18 but not getting into substance. I think that's 18 press offices. 19 a general accepted principle. 19 It's also apparent, having prepared for this, 20 LORD JUSTICE LEVESON: Do you have any other observations 20 reading some of the books written by former Met 21 21 commissioners and Mr Quick's evidence -- it comes upon the -- not the detailed fact, which I don't think 22 it would be fair to ask you to comment upon, but upon 22 through as a systematic element of people complaining 23 the principles in relation to this particular case? 23 that their senior colleagues were briefing against them 24 24 A. I think the principles should be very clear, that it in the media. Now, that would never happen -- I mean, 25 should be made absolutely clear to all concerned that 25 that would be incredibly rare in the Civil Service, Page 81 Page 83 1 the way they should operate is fairly, ie. talking about 1 simply because those senior civil servants would never 2 process is fine but you should make sure that the same 2 dream of it being appropriate, given the code of 3 3 information is passed on all parties in a case, so conduct, for them to be talking to journalists about 4 that -- I mean, this is not least to protect against 4 those sorts of issues. 5 a future judicial review, so fairness is absolutely 5 So it does strike me as something quite widespread 6 crucial to what happened. And -- well, that should be 6 that really does need a fundamental look, and I would 7 at the heart of the whole process, that everyone should 7 say that adopting the attitude that we do in the Civil 8 be clear that that's the way they should operate. 8 Service, of basically saying, "Look, this is an issue 9 MR JAY: Police and the media now, paragraphs 39 and 40. 9 you should just leave to your press office most of the 10 You pinpoint, Lord O'Donnell, the need for a culture 10 time and not get involved in it yourself", would be 11 change for the police. Maybe the starting point is to 11 a very good thing. 12 12 identify in your terms the existing culture, at least My own experience was that -- again, very difficult 13 13 from your own perception, your own evidence. to come up with hard evidence, but I certainly had my 14 A. Well, I think you saw Bob Quick's evidence on this. The 14 worries, which is why I raised some of them with 15 15 experience I have is that senior police officers take Sir Paul Stephenson about the cash for honours issue. 16 LORD JUSTICE LEVESON: Just pushing that along a little bit the view -- and I'm now talking about the Met -- that 16 17 17 it's really important for them to have close further, does that mean that if you're going to involve 18 relationships with the media, with journalists, so that 18 your press office in more, you have to be a bit careful 19 19 about the relationships developing between the very on occasion they can talk to them about stories they 20 would like them not to run because it wouldn't be in the 20 senior people in your press office and the press? 21 public interest, or if they would like them to run 21 A. Absolutely, yes, but at least you -- they're the ones 22 22 because they would be in the public interest, in order you should focus on, and it should be that when a line 23 to help them, say, catch someone or alert the public to 23 comes to the press office, it's a line that's agreed

a danger. And this process has led to a very close

relationship -- I think Mr Quick's evidence gives

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across the whole of the organisation. That's the virtue

of having a press office, as opposed to individuals

1 briefing. If you have a situation where the individuals 1 happening at my end. I mean, there may have been other 2 are briefing against each other, you have the risk of 2 leaks happening from my end, but on this specific issue, 3 3 there being different aspects of a story emerging in I wanted his view. 4 4 different ways. Q. So the concern you expressed about Assistant 5 LORD JUSTICE LEVESON: Oh yes, that's one aspect of it. The 5 Commissioner -- or he was acting Assistant Commissioner 6 aspect I was particularly asking about was whether there 6 then, I think -- Yates' relationship with the media, 7 7 was a risk of an unbalanced relationship with one media was, to put it bluntly, you suspected he might be the 8 group as opposed to another in the person of the 8 cause of the leaks rather than any wider concern about 9 director of public affairs. There's no need to be coded 9 Mr Yates; is that correct? 10 10 about it, because one of the issues that I have been A. Well, he was doing this investigation, so in a sense 11 11 required to consider is whether News International I wasn't necessarily saying that it was him. I was just 12 12 became excessively involved, at a very high level, with saying it was an area that he was in charge of and could 13 13 senior police officers and indeed with the director of they -- could Paul Stephenson look into that area and 14 public affairs. 14 see -- was there someone in that group who possibly was 15 A. I think it is absolutely crucial that the person you 15 there. But it was quite apparent to me that a number of 16 have when you put this power in the press office then 16 senior police officers had very strong links with the 17 17 media, and they were very close and, in my view, I would becomes someone who is seen as not being partial. They 18 have to be seen as being able to deal with all the media 18 say, too close. Their defence of this was that this was 19 19 across the board. It's absolutely right. It may well necessary, and this was true of a number of senior 20 be that they have some media background but that, 20 police officers. I happen to think it's not the right 21 21 I don't think, should -- if anything, it should be an way to operate. 22 advantage, but you wouldn't want them to have any 22 Q. Issues of regulation now. Paragraph 41 of your 23 conflict of interest across a particular group, you 23 statement, our page 05347. You're giving us your views 24 24 know, one specific news outlet rather than another. here from your experience but evidently not from, as I'm 25 LORD JUSTICE LEVESON: Yes. 25 sure you would want to say, the prospective of a media Page 85 Page 87 MR JAY: In relation to Mr Quick's evidence -- you'll have 1 lawyer, but nonetheless they are authoritative. 1 2 seen it, of course -- under paragraph 19 of his 2 You say in paragraph 42: 3 3 statement, which is --"We have tried self-regulation and I think it's 4 A. Which tab? 4 clear that it has not been satisfactory." 5 O. Tab 19, actually. 5 We won't ask you to develop that. 6 6 A. Paragraph? "Regulation should be independent and compulsory." 7 7 Can I ask you, please, to explain what you mean by Q. Paragraph 19. This is MOD2, 01508. 8 A. Yes. 8 "independent" in particular? 9 9 A. Yes. I would say "independent" meaning there should Q. There was a meeting which Mr Quick says you had with 10 10 Sir Paul Stephenson in January 2007. Do you see that? be -- if you imagine a body being set up. The chair of 11 11 A. Mm. that body that would have certain powers would be 12 12 Q. You raised a number of concerns regarding unauthorised someone who's appointed in a fair and open competition 13 13 disclosures. You had specifically expressed concern and would not have any conflicts of interests. So in 14 14 about Yates' relationship with the media in this regard. that sense they might have had a previous media 15 15 Can you remember anything specific that you said on that background, but they would now not be employed by any 16 16 occasion, Lord O'Donnell? media. They would -- if they had financial interests, 17 A. No, it -- well, I raised this issue of there seemed to 17 they'd put them in blind trusts or they'd find a way not 18 be certain information which was of a -- it related to 18 to be conflicted so that they would be, as it were, 19 19 truly, clearly independent, not a current member of a police investigation, a police interview, and some 20 20 politicians. This frequently became public, and the a newspaper or a broadcasting organisation. 21 question was: where was this information coming from? 21 LORD JUSTICE LEVESON: But you have to be a bit careful 22 22 It didn't seem to be in the politician' interest for about that, haven't you, because one of the criticisms 23 23 this information to have emerged, so I simply asked made of the present system is that although the 24 Sir Paul Stephenson: would he kindly look into the 24 chairpersons of the PCC have been independent, they've 25 25 issue? Because I didn't believe the leaks were been appointed by a body which is anything but Page 86 Page 88

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1 independent. 2

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A. Exactly. So it's a necessary, I say, but not sufficient

3 condition, so that's why I mentioned the point about

4 fair and open competition, and I think you'd need to

5 think very carefully about how that was handled. We

6 have various ways -- using the Commissioners, for

7 example, would be a good way of doing it, and getting

together a panel that would have credibility and trust

to put people of standing in there who would be -- have

10 the courage, I think, to actually take on various

11 newspapers, regulators, whoever it turned out to be,

12 politicians, civil servants, when they felt that they

13 needed to. So it would have to be quite a strong body.

14 MR JAY: Then you say:

15 "It should be principles-based regulations ..."

16 LORD JUSTICE LEVESON: Hang on, you've omitted the word 17

"compulsory".

18 A. Well, I do feel very strongly the bit about compulsory,

19 in the sense of, you know, if this is something for

people to opt in and you have one or two that opt out,

21 I just don't see how that works, I'm afraid.

22 LORD JUSTICE LEVESON: But how can one arrange that?

I appreciate this is probably what you've asked me to

24 do, so it's not terribly helpful, you might think, that

I should throw it back at you. How can one arrange it

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Code is based on that concept, designed to protect privacy and ensure accuracy and swift redress and

correction. Then you say:

"... with any corrections having the same prominence

5 as the original error."

6 Can I come back to the fact/comment dichotomy which

7 is in clause 1 of the code? Indeed, it's a point this

8 afternoon's witness is keen on. Would you expect the

9 regulator to be able to properly differentiate between

10 fact and comment and if comment is intruding into fact,

11 to segregate the two? How do you see that issue panning

13 A. I may be being idealistic about this, but I would 14 genuinely like to have a situation where you could have

15 a newspaper and be absolutely clear that in its leader

16 columns and its opinion pieces, it could portray its

17 particular stance, its particular political stance, its

18 view, but when it came to reporting a news story, it

19 would, as it were -- there would be a strong belief that

20 it -- I mean, in a sense, the code that civil servants

21 operate to -- honesty, objectivity, integrity,

22 impartiality -- if you could try and impose that as

23 a kind of rule of thumb as to how you should write

24 a news story, then wouldn't newspapers be better, more

respected and more trusted? And then you'd give them

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1 in such a way that it is not in any sense seen to be

2 government-led, government-controlled?

3 A. Absolutely.

4 LORD JUSTICE LEVESON: Either expressly or implicitly, so

5 that it is seen to be independent in the true sense, not

6 merely its appointment but its operation.

7 A. And I think for that -- there are various ways we've

8 tried it in areas. For example, establishing a fairly

long term of office for individuals who are appointed so

that they're not thinking about being reappointed by

11 someone, but actually, I suppose that's not so difficult

12 here where you're trying to have an appointment system

13 which isn't run by government. But I think we just have

14 to think very hard about how you deliver true

independence of mind and courage, and then basically

16 establish the incentive structure such that if you're 17

not part of this system, then you're really not to be

regarded as serious or as having some of the kind of

19 legitimacy that we give to various news outlets.

I haven't myself worked out quite how you do that in

21 detail, but I suspect that -- with the resources you've

22 got, I expect you to come up with a great answer to

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24 LORD JUSTICE LEVESON: I suppose I deserved that. Yes.

25 MR JAY: Principles-based regulation. Well, the Ministerial

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1 plenty of scope to write their opinion pieces, you know,

2 and express their political views in leader columns.

3 That, I think, would be a very healthy situation to get

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5 LORD JUSTICE LEVESON: Do you think that aspiration

6 fundamentally changes the culture of the press in this

7 country?

8 A. Yes.

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9 LORD JUSTICE LEVESON: Well, there's a big ask.

10 A. Yes, indeed, but I think there are a number of

11 journalists who actually would welcome it and I think

12 it's a culture change that we may now be ready for. If

13 not now, then when?

14 MR JAY: Paragraph 43, you've already dealt with, I think.

Paragraph 44:

16 "It might be worth considering whether the role of

17 a regulator could be undertaken by the Information

Commissioner."

19 Can we understand clearly what you have in mind

there, please?

21 A. I think I have this in the sense that there are lots of

22 options out there. I wouldn't rule any out. The

Information Commissioner looks at information across the

24 board. It seems to me it solves one of the issues that

25 they're set up -- they're independent, they're set up to

- look at broadcast media, newspapers, online -- you know,
- 2 they can look at anything. So that's an advantage,
- 3 I would say.

- 4 The Information Commissioner is, at the moment,
- 5 provided through a process by a minister, so you might
 - want to think about how you might want to modify that.
- 7 You know, it would -- you can't simply translate it
- 8 across, but it's -- some of the points about the role of
- 9 the Information Commissioner, the fact that they have
- 10 this scope across all media I think is worth exploring.
- 11 Nothing more than that. I think I put this forward in
- 12 a somewhat tentative fashion.
- 13 Q. Because the Information Commissioner evidently has
- 14 a privacy role --
- 15 A. Yes.
- 16 Q. -- in the context of the DPA, antithetical role in
- 17 relation to Freedom of Information Act, with the public
- interest always being weighed. Do you see an enhanced
- 19 ICO -- it would have to be significantly enhanced to
- 20 accommodate out this press regulatory function.
- 21 A. Absolutely. It would have to be a very significantly
- 22 enhanced ICO. But, much as I have my disputes with
- them, I regard them as a very credible and independent
- 24 body, and I have a lot of time for the Commissioner, who
- 25 I think does a very good job.

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Q. Were you involved at all with the aftermath of Operation

- 1 LORD JUSTICE LEVESON: I'll certainly be considering whether
- 2 Motorman, in particular the two "What price privacy?"
- 3 reports in 2006?

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- 4 A. Not enormously. I was aware of it. I mean, this was
- 5 very much a Jack Straw issue, if I remember rightly.
- 6 There were disturbing reports, and I know that there was
- 7 a question of what should we do about them, and there
- 8 were some discussions -- I think Richard Thomas went
- 9 into discussions he had where I think there was
- 10 a difference of view between the press on the one hand
- and Richard Thomas on the other, as Information
- 12 Commissioner, as to precisely how strictly -- should
- there be a criminal sentence and all the rest of it?
- 14 And in the end, a compromise was brokered.
- Q. Weren't you instrumental in the broking of thatcompromise?
- 17 A. Instrumental? I wouldn't say I was, knowing that
- 18 I didn't have any of the meetings with the different
- 19 parties. I certainly felt that we needed to find a way
- 20 of moving forward on this, because the alternative was
- 21 nothing happening. So -- and people got stuck, so
- 22 I suggested a process, which I didn't take part in
- 23 myself, whereby different people talked to the MoJ and
- in the end, we got to a solution.
- 25 Q. Yes, but was the solution your idea?

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- 1 A. I don't remember it being my idea, but I would have to
- 2 check the records.
- 3 Q. I'm sure you weren't involved in the detail of the
- 4 meetings which gave effect to the compromise and then
- 5 Section 77 and 78 of the Criminal Justice and
- 6 Immigration Act of 2008, but the philosophy behind it,
- 7 namely put it into the statutory instrument which can
- 8 then be enacted in due course, did that come from you?
- 9 A. Yes, well --
- 10 LORD JUSTICE LEVESON: It's in a statute, but it's just not
- implemented. So at the moment it sits there with nobody
- doing anything about it.
- 13 A. Indeed. Absolutely, and I think -- the question was,
- 14 I think, that was as far as ministers were prepared to
- 15 go at the time.
- 16 LORD JUSTICE LEVESON: It's abundantly clear that that was
- 17 the subject of lobbying from press interests.
- 18 A. Oh absolutely, yes. The press were very, very against
- 19 that clause, and the question was: could you go any
- 20 further? And in the end, I think it's quite clear --
- 21 and I'm sure you'll be talking to Jack Straw about
- 22 this -- what ministers would accept was that compromise.
- 23 MR JAY: Because of the obvious political risks?
- 24 A. Indeed. Again, if you were, as an Inquiry, to suggest
- 25 that that might be revisited --

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- 2 the implementation of those provisions should not now
- 3 take place.
- 4 A. That would be a perfectly reasonable thing for you to
- 5 consider.
- 6 MR JAY: Mr Brown, as prime minister, was involved in some
- 7 the discussions with Mr Dacre and Mr Thomas, and in that
- 8 context presumably you would be advising him, wouldn't
- 9 you?
- 10 A. Yes, that's right.
- 11 Q. Although the greater detail would be within the province
- of Mr Straw, self-evidently?
- 13 A. Indeed.
- 14 Q. Context of regulation. Can we consider whether there
- might be further possible amendments to the Ministerial
- 16 Code and try and look at it in this way: as you rightly
- say, transparency is the key but we need to find where
- the balance should lie, in particular in the context of
- 19 the relevance of personal interaction and politicians
- 20 having journalists as friends. It's clear that the
- 21 Ministerial Code is interested in ministerial
- 22 interactions and to some extent political interactions,
- 24 personal social interactions which may or may not be
- 25 relevant depending on how suspicious one might be. Do

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but then, on the other end of the spectrum there are the

1 you feel there is a case for expanding transparency 1 you do have some further ideas, I would be very grateful further to go right into the domain of the personal 2 2 to receive them. I hope that's not too impertinent. 3 area? 3 A. This is certainly not impertinent. I fell for that one, 4 4 A. I think my advice to the Prime Minister was very clearly didn't I? Yes, of course I will happily provide my 5 in that area and lays out what I think we should do and 5 thoughts, but I should stress, really -- I mean, in 6 it's in that letter which we've referred to already. 6 a sense, the issue of amending the Ministerial Code is 7 7 for the Prime Minister and on the basis of advice from I think we've covered that issue, didn't we, that 8 I think that the social side does have to be there but 8 my successor. 9 subject to the detailed discussion we had earlier. 9 LORD JUSTICE LEVESON: Don't get me wrong. Anything I do in 10 10 this area will be in the form of a recommendation. Q. So your final position, as it were, is set out in that 11 11 Ultimately, everything that this Inquiry generates will advice of 15 July of last year, and nothing you've heard 12 12 be for the government to consider, I hope on the or seen since would cause you to suggest anything 13 13 cross-party basis that set up the Inquiry in the first further? 14 place. 14 A. Well, I would strongly recommend to my successors that 15 in the light of whatever this Inquiry comes up with, 15 A. Yes. 16 that they should consider whether that requires any 16 LORD JUSTICE LEVESON: Because if it ceases to be 17 17 a cross-party effort, it becomes much, much less amendments to the Ministerial Code. So if, in your 18 deliberations and the evidence you've heard, you think 18 valuable. It's important that everybody feels that the 19 19 process has been full, open, everybody can take a view that actually the advice that I've suggested to the 20 Prime Minister needs to be supplemented or changed in 20 and then everybody can decide what to do. I will have 21 21 any way, then I'm -- that was my attempt, given the views but I would want them to be as informed as they 22 information I had at the time, to think of how to come 22 23 23 to a sensible view, proportionate view, given the issues A. I strongly support that. I think cross-party support is 24 24 we discussed about how you handle the social side of really crucial. 25 25 things. If, in the light of all the evidence you've MR JAY: Some specific questions now. Could you go back Page 97 Page 99 heard -- and that will give you access to a lot more 1 kindly to your statement. Starting at page 05357, you 2 information than I had in preparing that advice -- you 2 deal with what happened after the Select Committee 3 decide that actually the line should be drawn in 3 report in February 2010 and the call for a possible 4 a slightly different way, I think that would be 4 public inquiry. You state that the Prime Minister's 5 a perfectly reasonable thing to do and then I would like 5 principal private secretary, who then was Mr Heywood, 6 to think that my successors will take that into account 6 informed you orally that the Prime Minister would be 7 in amending the Ministerial Code. 7 grateful for advice on the merits of establishing 8 LORD JUSTICE LEVESON: You've walked yourself into some work 8 a judicial inquiry to explore the findings of that there, Lord O'Donnell, because --9 report, specifically those relating to phone hacking and 10 A. Surely not. 10 blagging. 11 LORD JUSTICE LEVESON: Well, because I readily recognise 11 You received, in that context, advice from the 12 that your recommendations, when they were made, were 12 Treasury Solicitor, which is under tab 2, at our 13 based on the information which you had available. Of 13 page 05324. 14 course, it seems to me that this area of the terms of 14 A. Mm-hm. 15 reference can only be governed by guidance in reality. 15 Q. Within the covering email, the Treasury Solicitor refers A. Uh-huh. 16 16 to the "not inconceivable risk of a successful JR", then LORD JUSTICE LEVESON: And therefore, although I will 17 17 savs: 18 obviously take account of all the evidence I've heard 18 "I think there is actually quite a significant risk 19 and reach conclusions, I will not have the expertise 19 that if an inquiry was limited to News International and 20 that is available to you, from your experience, to 20 the motivation was seen as political, the judge would 21 calibrate how that could best be changed. So 21 require a lot of persuasion that the inquiry is being 22 22 I appreciate that you gave it your best shot when you held for proper reasons." 23 gave it but I can't believe that you're ignorant of the 23 Is that a factor which you took into account or not? 24 material that has come out in this Inquiry, and 24 A. No, I think the biggest factor for me was the question 25 therefore if, in the fullness of the next month or so, 25 of the absence of evidence at the time and the various

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- 1 inquiries that had taken place. That was the overriding
- 2 aspect in providing my advice, but I stress it was
- 3 advice. It was for the Prime Minister to decide whether
- 4 to hold an inquiry or not.
- 5 Q. The Treasury Solicitor's advice referred -- and you
- 6 picked this up -- to CMS Select Committee's findings
- 7 about a culture existing in the News of the World,
- 8 et cetera. Wasn't that a factor which very strongly
- 9 weighed in the balance?
- 10 A. It was a factor. That's absolutely right. But as you
- 11 can see, we published the notes and there are various
- 12 arguments in favour and various arguments against, and
- 13 in the end, I came down on the line which I would still 14
- do now: on the basis of the evidence we had at that
- 15 time, that wasn't the right point to do it. I, as is
- 16 very well known to everybody here, very strongly took 17
 - the view when more evidence emerged that it was
- 18 absolutely right that we have this inquiry, and I'm
- 19 delighted that it's happening. Sorry for all the work
- 20 we've imposed, but I think you are going to provide an
- 21 answer to a question that we've long struggled with.
- 22 Q. The advice you gave is on 19 March 2010, which was about
- 23 two months before the General Election, which I suppose
- 24 wasn't ideal timing on one version of events. We have
- 25 it at 05530.

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- decision to hold such an inquiry could be challenged by
- 2 iudicial review ..."
 - The answer is yes, in theory.
- 4 "... particularly if the inquiry were extended to
- 5 the media in general."
- 6 So that at least was under contemplation, but the
- 7 fear was that if we include the media in general,
- 8 someone would say it's political, it's a motive ulterior
- 9 to the 2005 Act, it's JR-able. Was that the thought
- 10 process, do you think?
- 11 A. I think you've referred to the covering note that I had
- 12 from the Treasury Solicitor, which explained that he
- 13 thought -- his views about JR -- what I've said is
- 14 actually not as strong as what was said there. I just
- 15 said "not inconceivable" that such a challenge might
- 16 succeed, which is quite a lot weaker than the legal
- 17 advice that was put to me.
- 18 Q. But this was just advice, wasn't it? The Prime Minister
- 19 would act appropriately. We know there wasn't a public
 - inquiry, but Mr Brown, now in opposition on 7 September
- 21 2010, six days after the New York Times article --
- 22 page 05337 -- was now clearly of the view that there
- 23 should be a public inquiry?
- 24 A. Yes.

20

4

25 Q. But of course, the political situation from his

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- 1 A. Yes.
- 2 O. This is advice to the Prime Minister, isn't it?
- 3 A. This is advice going to Jeremy Heywood, who at the time
- 4 was the Number 10 permanent secretary, who would then
- 5 give it to the Prime Minister, that's right.
- 6 Q. It very much reflects the Treasury Solicitor's analysis.
- 7 The summary at 05334, you see that?
- 8 A. Yes.
- 9 Q. "From the limited information available ..."
- 10 That was quite cautious, wasn't it? There was quite
- 11 a lot of information in that report, wasn't there?
- 12 A. Well, I felt there were still a number of unanswered
- 13 questions at that time. A number of people were saying
- 14 that there was no evidence. If you look at -- I mean,
- 15 the police had investigated -- the Crown Prosecution
- 16 Service had decided that they weren't going to bring any
- 17 charges, so it was against that background. Now, there
- 18 were stories that there was more information out there,
- 19 and there was -- I think there was still a question mark
- 20 as to whether more would emerge, but at that time I felt
- 21 that on the basis of what we knew then I would stick
- 22 with that recommendation.
- 23 Q. You say:
- 24 "It is doubtful whether this case would merit the
- 25 holding of a public inquiry under the 2005 Act. Any

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- 1 perspective has changed rather dramatically.
- Then you write back saying, well, it's not 2
- 3 appropriate for you to --
 - A. Well, a number of inquiries were then under way and
- 5 I think a process which inexorably led to this Inquiry
- 6 was already in train.
- 7 Q. Was there any sense, back in March 2010, that
- 8 a manifestation of the sort of issue that paragraph 1(d)
- 9 of the terms of reference of this Inquiry requires us to
- 10 investigate, that it was simply too big a political hot
- 11 potato, therefore let's not pick it up?
- 12 A. Well, I would say it's clearly a big potato, if you
- 13 like, to use that phrase. The timing wasn't ideal. If
- 14 you're going to do this, actually it would be good to
- 15 have -- where stated, all-party agreement, and it would
- 16 be much better to do that -- trying to broker such
- 17 a thing in the weeks running up to a General Election
- 18 was always going to be very difficult. So I think it's
- 19 quite good that we have something set up now with all
- 20 party agreement and hopefully, when we come to the
- 21 recommendation stage, you can get all parties to agree
- 22 to that. I think that will give us something secure and 23 lasting in what is a very, very difficult task, I admit,
- 24 you have been given.
- 25 MR JAY: You're right to emphasise the timing wasn't ideal Page 104

26 (Pages 101 to 104)

		l .	
1	on 19 March 2010. I'm sure that's entirely accurate.	1	phone hacking or look at the 70-odd terrorist threats
2	Those were all the points I have.	2	that we are confronting? I think I used the phrase
3	LORD JUSTICE LEVESON: All right. Can I just ask one thing,	3	"it's a comparative no-brainer". Whether the
4	going back earlier in your evidence. You've rightly	4	information was all available there is another question.
5	said I think, rightly that it is of very great	5	So those are two different questions, and on each of
6	importance to devise a system that works for the future,	6	them I would grateful for your view, either now or again
7	which has a dimension which is as yet unknown.	7	in due course, because actually they seem to me to be
8	A. Mm.	8	absolutely at the very centre of some of the more
9	LORD JUSTICE LEVESON: But do you believe that we will have	9	difficult problems that I have to confront.
10	to think about a system that is I and the Inquiry,	10	A. And I will be happy to provide more input later on those
11	but then more fully, the government that encompasses	11	questions because it strikes me that a lot of the
12	organisations beyond those traditionally understood to	12	information you're gathering at the moment will be
13	represent the media? You've identified the dichotomy	13	relevant to that, and I don't think you've finished that
14	between the BBC and television and the print media,	14	process by any means yet.
15	which is for historical reasons of bandwidth, which have	15	LORD JUSTICE LEVESON: No, you're right.
16	long since gone.	16	A. I'm very happy to input into that.
17	A. Mm-hm.	17	I think in terms of lines drawn, I've always thought
18	LORD JUSTICE LEVESON: The Inquiry is not encompassing the	18	that it might be possible to think about individual
19	concept of regulation of the broadcasters. But there	19	things like Facebook and Twitter in one sense, and
20	are many others, like Internet providers, others who are	20	covered by general laws as they are I mean, making
21	using the Internet in the same way that the press have	21	the point that disclosing the names of victims of sexual
22	used both print and online journalism, and I'd be	22	assault, that's covered by existing law and that's
23	grateful for any thoughts, first of all as to where the	23	people need to understand what the law is.
24	lines could be drawn as to how you devise a system that	24	LORD JUSTICE LEVESON: And the consequence of breaching t
25	does encompass everybody who should be encompassed	25	and I believe that there's an investigation into that.
	Page 105		Page 107
1	without straining too far in such a way as to attempt to	1	A Ves and I think that's a set of rules that should annly
1 2	without straining too far in such a way as to attempt to	1 2	A. Yes, and I think that's a set of rules that should apply
2	regulate that which is probably incapable of	2	there. I think it would be very dangerous to get into
2 3	regulate that which is probably incapable of regulation and one of the examples that have been	2 3	there. I think it would be very dangerous to get into the world where you're trying to apply certain rules
2 3 4	regulate that which is probably incapable of regulation and one of the examples that have been bandied around the Inquiry, of course, has been Twitter,	2 3 4	there. I think it would be very dangerous to get into the world where you're trying to apply certain rules towards newspapers that would drift into covering
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1	A. Indeed.	
2	LORD JUSTICE LEVESON: Well, not the first but one of the	
3	most vocal to point out to me.	
4	A. Yes, and none of these things are easy because once you	
5	set up a set of regulations for one sphere, then you	
6	create an enormous incentive for people to get into the	
7	sphere that isn't quite covered by that, so whatever	
8	rules you get, people will try and just move the other	
9	side of the line. So it's one reason why I've been	
10	hesitant to come forward with more details on solutions,	
11	is that it's not easy.	
12	LORD JUSTICE LEVESON: I understand that, and I'm very happy	
13	for you to caveat whatever. All I'm seeking to do is to	
14	input into your experience so that whatever I come up	
15	with has the greatest possible degree of relevance and	
16	cannot immediately be shot down as the rather confused thoughts of a judge taken entirely out of his normal	
17		
18	operating sphere.	
19	A. I would be more than happy to get involved in that	
20	process in whatever way is appropriate. I'm just kind	
21	of nervous about precisely how that should happen.	
22	LORD JUSTICE LEVESON: What will happen is this: this	
23	module as you know, we split it into four concerns	
24	effectively politicians and that's essentially what	
25	you've been dealing with, but in July, for a much	
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1	briefer period of time, I shall be looking at the	
2	future, and I will be calling back some witnesses who	
3	have had ideas and some witnesses who have proffered	
4	ideas, so that they can be explored. It won't	
5	necessarily require further oral evidence, but I will be	
6	very willing to put into the public domain, as part of	
7	the record of the Inquiry, any submission that's in	
8	writing. So that's one mechanism. If there's something	
9	that you feel you'd like to elaborate upon, then I can	
10	assure you I will make time for you to be able to do it	
11	but I don't commit you to that. I'm conscious that to	
12	some extent you are entitled to say, "Actually, this was	
13	the job that I was party to giving you to do, so	
14	therefore I shouldn't have it back", and I understand	
15	that, but I'm sure you see why I would value your	
16	perspective.	
17	A. I do, and I would be very happy to help.	
18	LORD JUSTICE LEVESON: Thank you. Thank you very much	
19	indeed. 2 o'clock.	
20	(1.00 pm)	
21	(The luncheon adjournment)	
22	(The function adjournment)	
23		
24		
24 25		
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